

Dance of the Dragons: Induction, Difference Computation, SMT Solving

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Joint work with Prof. Supratik Chakraborty, Prof. Ashutosh Gupta



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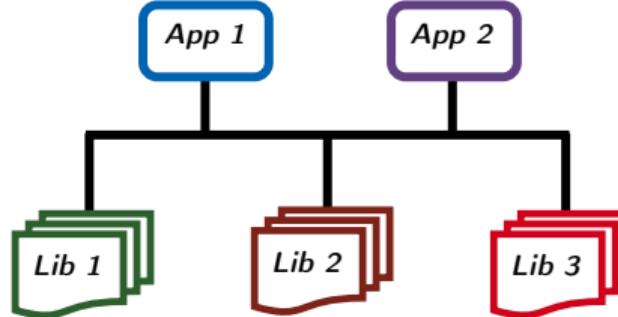


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Motivation



Photo by Sahin Sezer Dincer from Pexels
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- Software in safety critical applications often use arrays
- Implemented with arrays of parametric size
 - ▶ Model-specific parameter instantiation at deployment
- Libraries with parametric sized arrays frequently used
- Important to verify parametric properties of such software

Prove Correctness of Parametric Array Programs



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assume($\forall x \in [0, N] \ A[x] = *$)

$\varphi(N)$

Arrays of parametric size N

```
void foo(int A[], int N)
{
    for (int i=0; i<N; i++) {
        if(!(i==0 || i==N-1)) {
            if (A[i] < 5) {
                A[i+1] = A[i] + 1;
                A[i] = A[i-1];
            }
        } else {
            A[i] = 5;
        }
    }
}
```

assert($\forall x \in [0, N] \ A[x] \geq 5$)

$\psi(N)$

Branch conditions dependent on N ; Nested loops

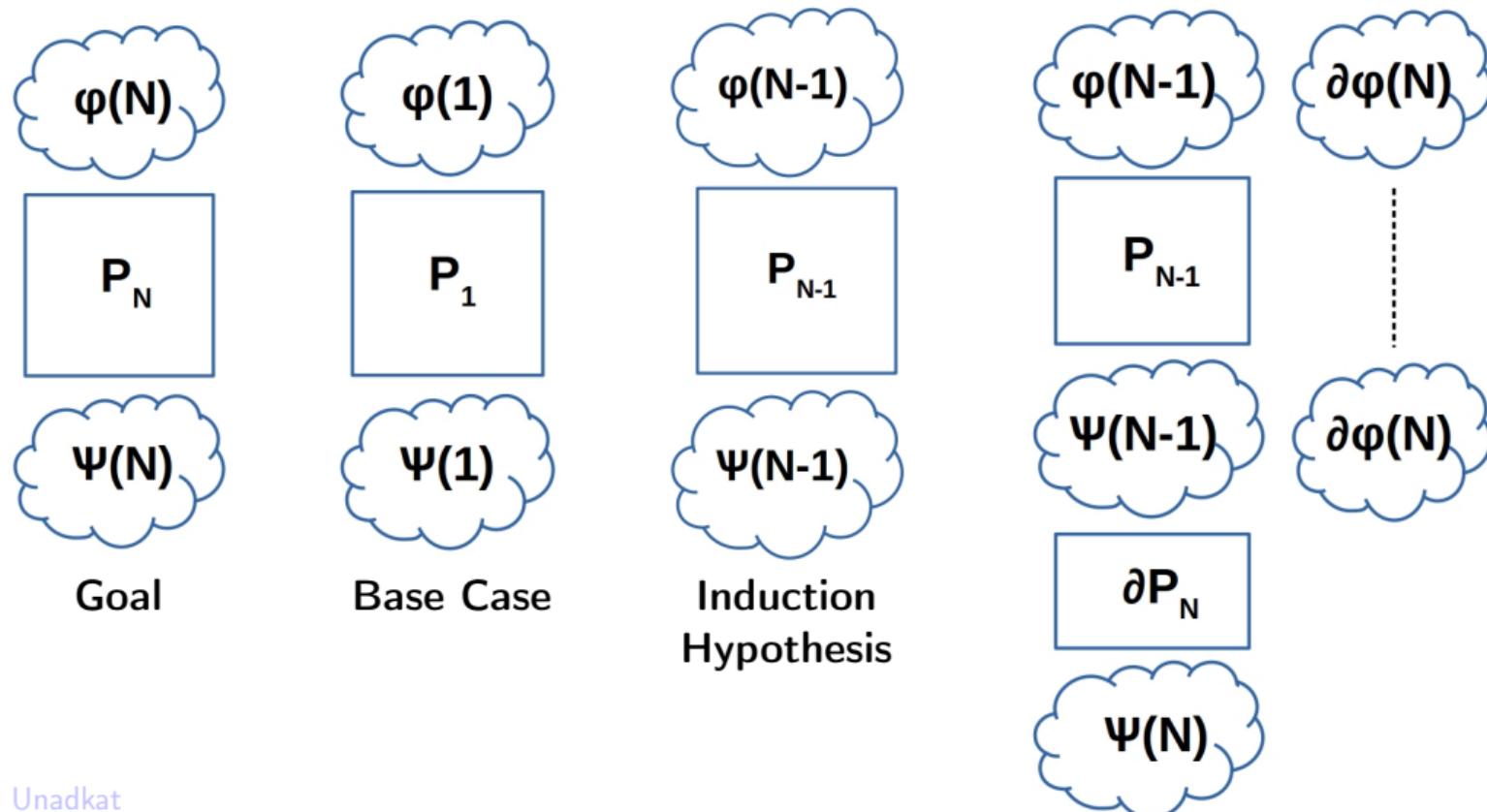
Pre- and post-condition formulas

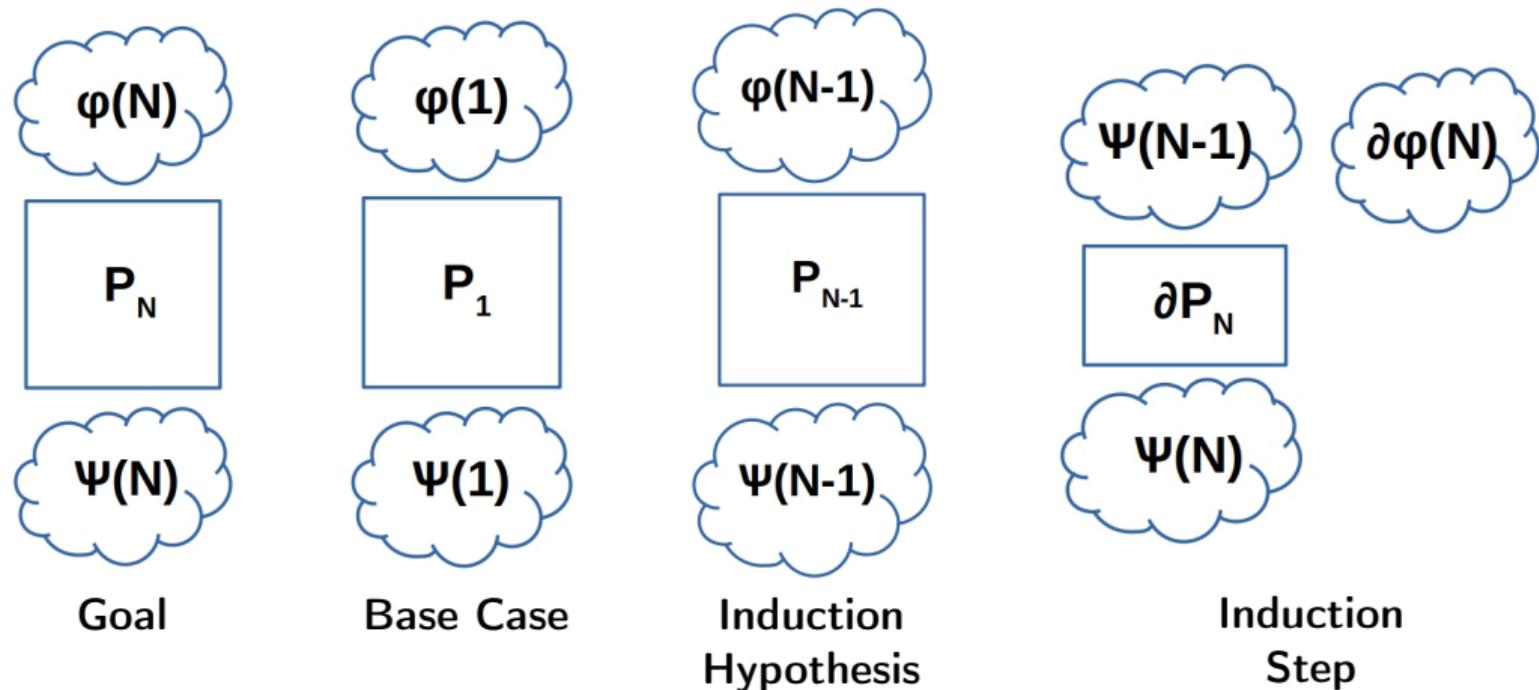
- ▶ Quantified or quantifier-free
- ▶ Non-linear terms

Prove the parametric Hoare triple
 $\{\varphi(N)\} P_N \{\psi(N)\}$ for all $N > 0$

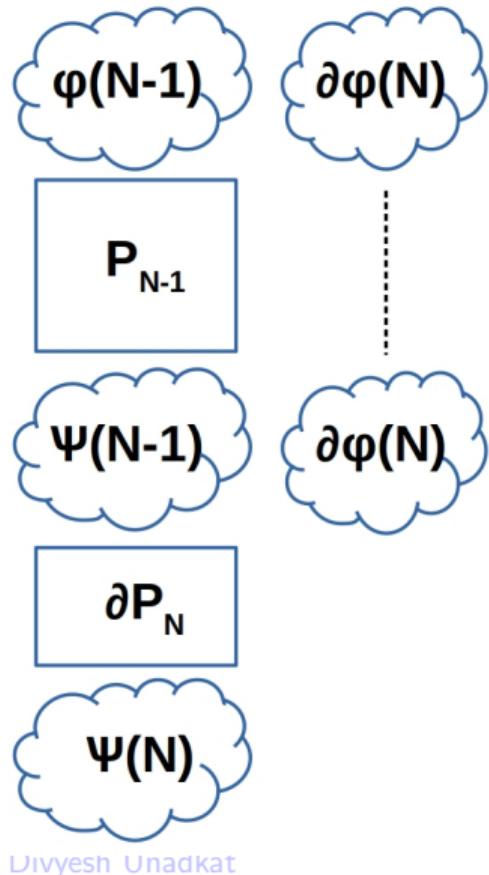
Classical Hoare logic-based methods

- ▶ Need quantified loop invariants
- ▶ Difficult to compute





Difference Computation Example



```
assume( $\forall i \in [0, N] A[i] = 1$ )
```

```
1. S = 0;
2. for(i=0; i< $N$ ; i++) {
3.   S = S + A[i];
4. }
```

```
5. for(i=0; i< $N$ ; i++) {
6.   A[i] = A[i] + S;
7. }
```

```
8. for(i=0; i< $N$ ; i++) {
9.   S = S + A[i];
10. }
```

```
assert(S =  $N \times (N+2)$ )
```

 P_N

```
assume( $\forall i \in [0, N-1] A_{Nm1}[i] = 1$ )
```

```
1. S_Nm1 = 0;
2. for(i=0; i< $N-1$ ; i++) {
3.   S_Nm1 = S_Nm1 + A_Nm1[i];
4. }
```

```
5. for(i=0; i< $N-1$ ; i++) {
6.   A_Nm1[i] = A_Nm1[i] + S_Nm1;
7. }
```

```
9. for(i=0; i< $N-1$ ; i++) {
10.   S_Nm1 = S_Nm1 + A_Nm1[i];
11. }
```

```
assert(S_Nm1 =  $(N-1) \times (N+1)$ )
```

 P_{N-1}

If Only Difference Computation Were Easy!!!



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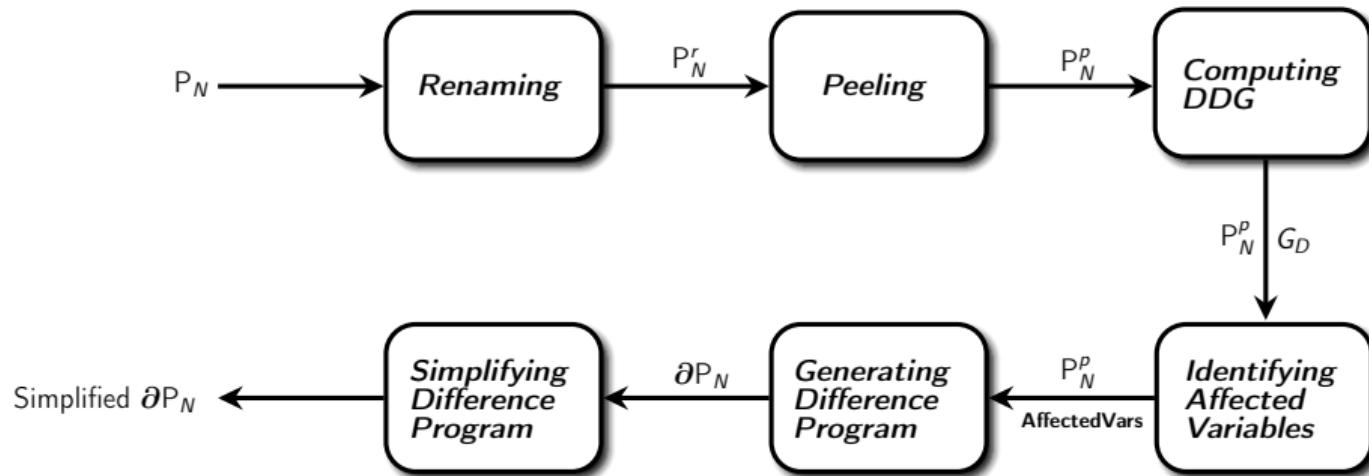
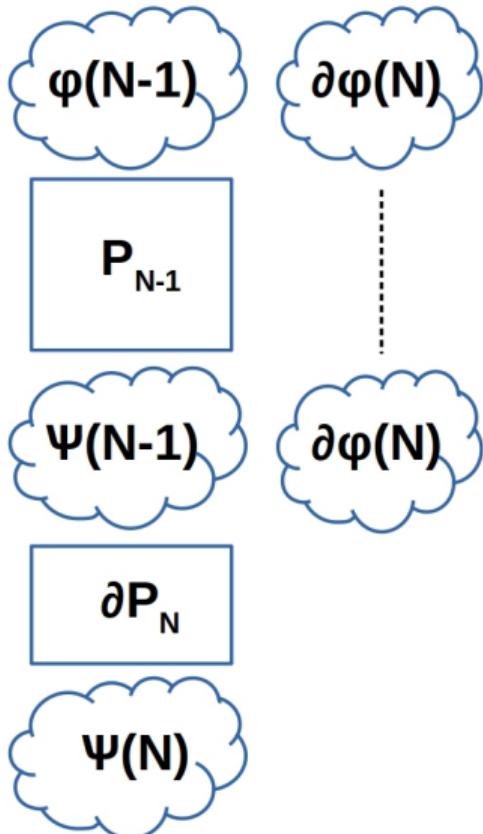


Figure: Sequence of steps for the computation of the difference program ∂P_N

Lemma (Sound Decomposition)

$$\{\varphi(N)\} \ P_N \ \{\psi(N)\} \iff \{\varphi(N)\} \ P_{N-1}; \partial P_N \ \{\psi(N)\}$$

Renaming



```
assume( $\forall i \in [0, N] \ A[i] = 1$ )
```

```

1. S = 0;
2. for(i=0; i<N; i++) {
3.   S = S + A[i];
4. }
```

```

5. for(i=0; i<N; i++) {
6.   A[i] = A[i] + S;
7. }
```

```

8. for(i=0; i<N; i++) {
9.   S = S + A[i];
10. }
```

```
assert(S = N × (N+2))
```

```
assume( $\forall i \in [0, N] \ A[i] = 1$ )
```

```

1. S = 0;
2. for(i=0; i<N; i++) {
3.   S = S + A[i];
4. }
```

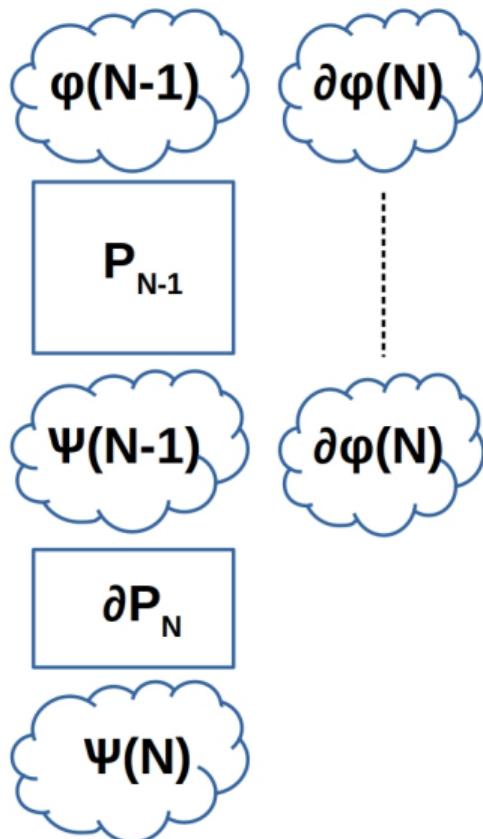
```

5. for(i=0; i<N; i++) {
6.   A1[i] = A[i] + S;
7. }
```

```

8. S1 = S;
9. for(i=0; i<N; i++) {
10.   S1 = S1 + A1[i];
11. }
```

```
assert(S1 = N × (N+2))
```



Peeling

```

assume( $\forall i \in [0, N] \ A[i] = 1$ )
 $S = 0$ 
for( $i=0$ ;  $i < N$ ;  $i++$ ) {
   $S = S + A[i]$ ;
}
for( $i=0$ ;  $i < N$ ;  $i++$ ) {
   $A1[i] = A[i] + S$ ;
}
 $S1 = S$ ;
for( $i=0$ ;  $i < N$ ;  $i++$ ) {
   $S1 = S1 + A1[i]$ ;
}
assert( $S1 = N \times (N+2)$ )
  
```

```

assume( $\forall i \in [0, N] \ A[i] = 1$ )
 $S = 0$ 
for( $i=0$ ;  $i < N-1$ ;  $i++$ ) {
   $S = S + A[i]$ ;
}
 $S = S + A[N-1]$ ;
for( $i=0$ ;  $i < N-1$ ;  $i++$ ) {
   $A1[i] = A[i] + S$ ;
}
 $A1[N-1] = A[N-1] + S$ ;
 $S1 = S$ ;
for( $i=0$ ;  $i < N-1$ ;  $i++$ ) {
   $S1 = S1 + A1[i]$ ;
}
 $S1 = S1 + A1[N-1]$ ;
assert( $S1 = N \times (N+2)$ )
  
```

Affected Variable Analysis using DDG

```
assume( $\forall i \in [0, N] \ A[i] = 1$ )
```

```

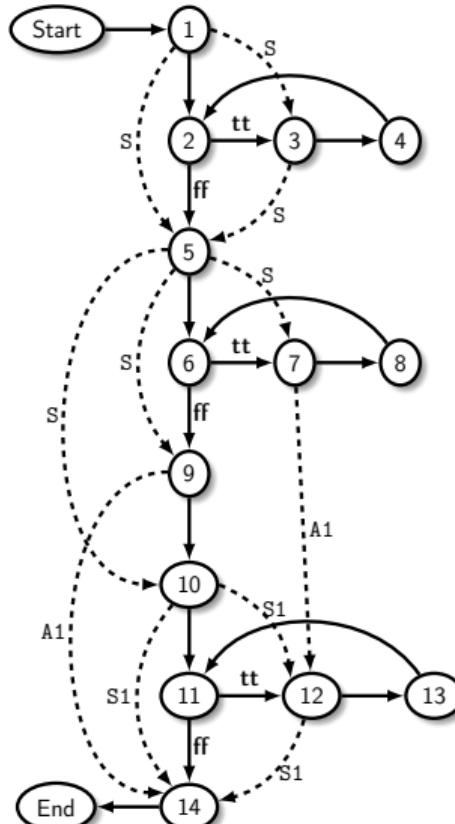
1. S = 0;
2. for(i=0; i<N-1; i++) {
3.   S = S + A[i];
4. }
5. S = S + A[N-1];

6. for(i=0; i<N-1; i++) {
7.   A1[i] = A[i] + S;
8. }
9. A1[N-1] = A[N-1] + S;

10. S1 = S;
11. for(i=0; i<N-1; i++) {
12.   S1 = S1 + A1[i];
13. }
14. S1 = S1 + A1[N-1];

```

```
assert(S1 == N * (N+2))
```



A is **not** affected; not modified

S is **not** affected

- ▶ No dependence on *peel* or *N*

A1 is affected

- ▶ Depends on a value in the *peel*

S1 is affected

- ▶ Depends on a value in the *peel*, and
- ▶ Depends on an affected array

Generating the Difference Program ∂P_N

```
assume( $\forall i \in [0, N] \ A[i] = 1$ )
```

```

1. S = 0;
2. for(i=0; i< $N-1$ ; i++) {
3.   S = S + A[i];
4. }
5. S = S + A[N-1];

6. for(i=0; i< $N-1$ ; i++) {
7.   A1[i] = A[i] + S;
8. }
9. A1[N-1] = A[N-1] + S;

10. S1 = S;
11. for(i=0; i< $N-1$ ; i++) {
12.   S1 = S1 + A1[i];
13. }
14. S1 = S1 + A1[N-1];

```

```
assert(S1  $\neq$  N  $\times$  (N+2))
```

```
assume( $\forall i \in [0, N] \ A[i] = 1$ )
```

```

1. S = S_Nm1 + A[N-1];
2. for(i=0; i<N-1; i++) {
3.   A1[i] = A1_Nm1[i] + (S - S_Nm1);
4. }
5. A1[N-1] = A[N-1] + S;

6. S1 = S1_Nm1 + (S - S_Nm1);
7. for(i=0; i<N-1; i++) {
8.   S1 = S1 + (A1[i] - A1_Nm1[i]);
9. }
10. S1 = S1 + A1[N-1];

```

```
assert(S1 = N  $\times$  (N+2))
```

 ∂P_N

Simplifying the Difference Program ∂P_N

```
assume( $\forall i \in [0, N] \ A[i] = 1$ )
```

```

1. S = 0;
2. for(i=0; i< $N-1$ ; i++) {
3.   S = S + A[i];
4. }
5. S = S + A[N-1];

6. for(i=0; i< $N-1$ ; i++) {
7.   A1[i] = A[i] + S;
8. }
9. A1[N-1] = A[N-1] + S;

10. S1 = S;
11. for(i=0; i< $N-1$ ; i++) {
12.   S1 = S1 + A1[i];
13. }
14. S1 = S1 + A1[N-1];

```

```
assert(S1  $\neq$   $N \times (N+2)$ )
```

```
assume( $\forall i \in [0, N] \ A[i] = 1$ )
```

```

1. S = S_Nm1 + A[N-1];
2. for(i=0; i< $N-1$ ; i++) {
3.   A1[i] = A1_Nm1[i] + 1;
4. }
5. A1[N-1] = A[N-1] + S;

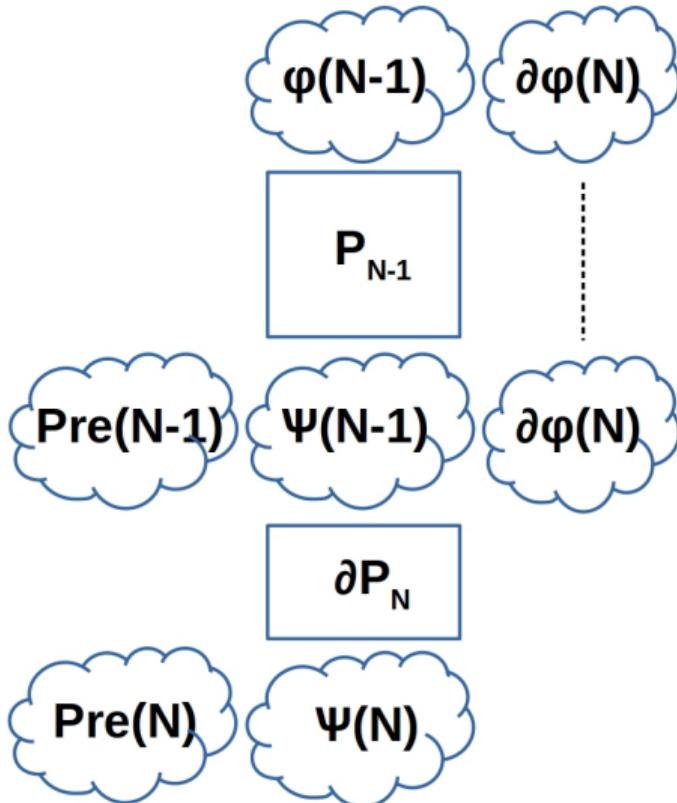
6. S1 = S1_Nm1 + A[N-1];
7. S1 = S1 + ( $N-1$ );
8. S1 = S1 + A1[N-1];

```

```
assert(S1 =  $N \times (N+2)$ )
```

Simplified ∂P_N

Strengthening Pre and Post



```

assume(A[N-1] = 1)           //∂φ(N)
assume(S1_Nm1 = (N-1)×(N+1)) //ψ(N-1)
assume(∀i∈[0,N-1] A1_Nm1[i] = N)
assume(S_Nm1 = N-1)
  
```

1. $S = S_Nm1 + A[N-1];$
2. $for(i=0; i < N-1; i++) {$
3. $A1[i] = A1_Nm1[i] + 1;$
4. }
5. $A1[N-1] = A[N-1] + S;$
6. $S1 = S1_Nm1 + A[N-1];$
7. $S1 = S1 + (N-1);$
8. $S1 = S1 + A1[N-1];$

```

assert(S1 = N×(N+2))           //ψ(N)
assert(∀i∈[0,N) A1[i] = N+1)
assert(S = N)
  
```



Vajra Artifact



[https://doi.org/10.6084/
m9.figshare.11875428.v1](https://doi.org/10.6084/m9.figshare.11875428.v1)

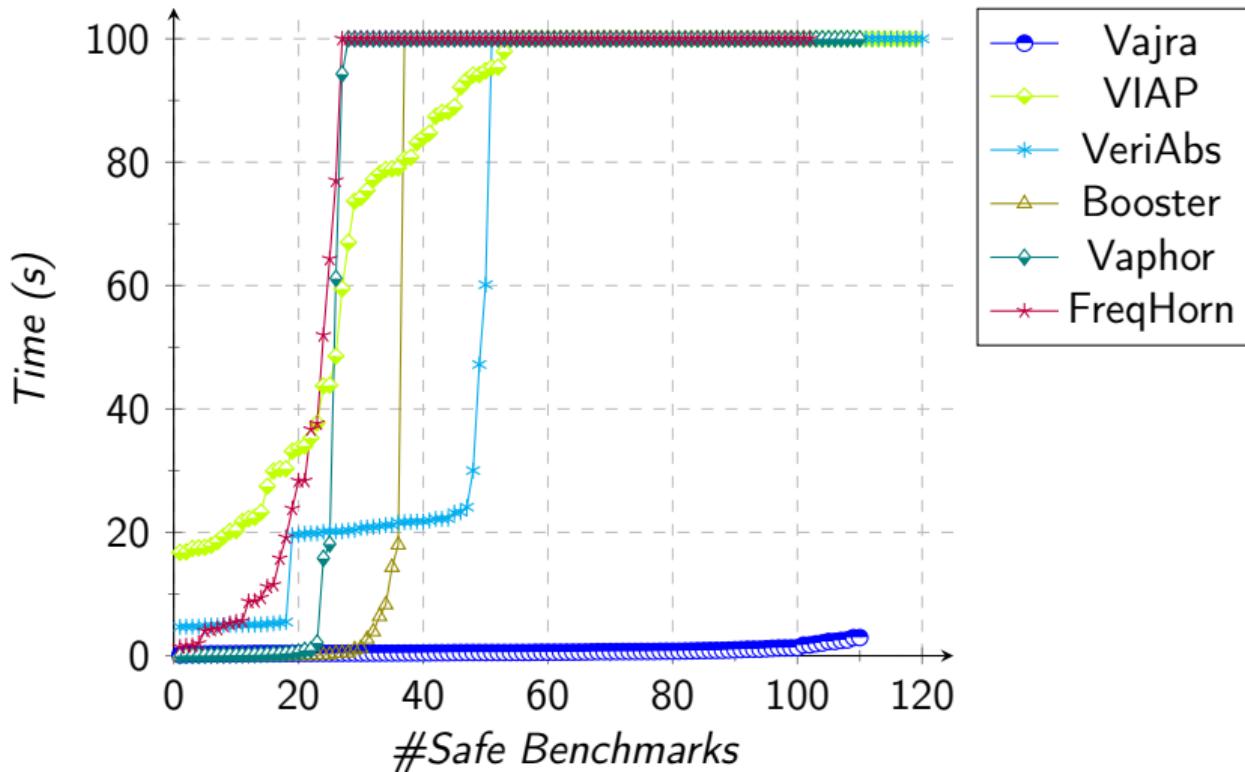
- Evaluation on 231 challenging benchmarks; performance comparison with:
 - ★ VIAP v1.1, ★ VeriAbs v1.3.10, ★ Booster v0.2, ★ Vaphor v1.2, ★ FreqHorn v3
- Verified 110/121 safe, 109/110 unsafe, inconclusive on 12 programs
- Intel i7-6500U CPU, 2.5 GHz, 16GB RAM, Ubuntu 18.04.5 LTS; Time limit - 60s

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Trends in Safe Benchmarks



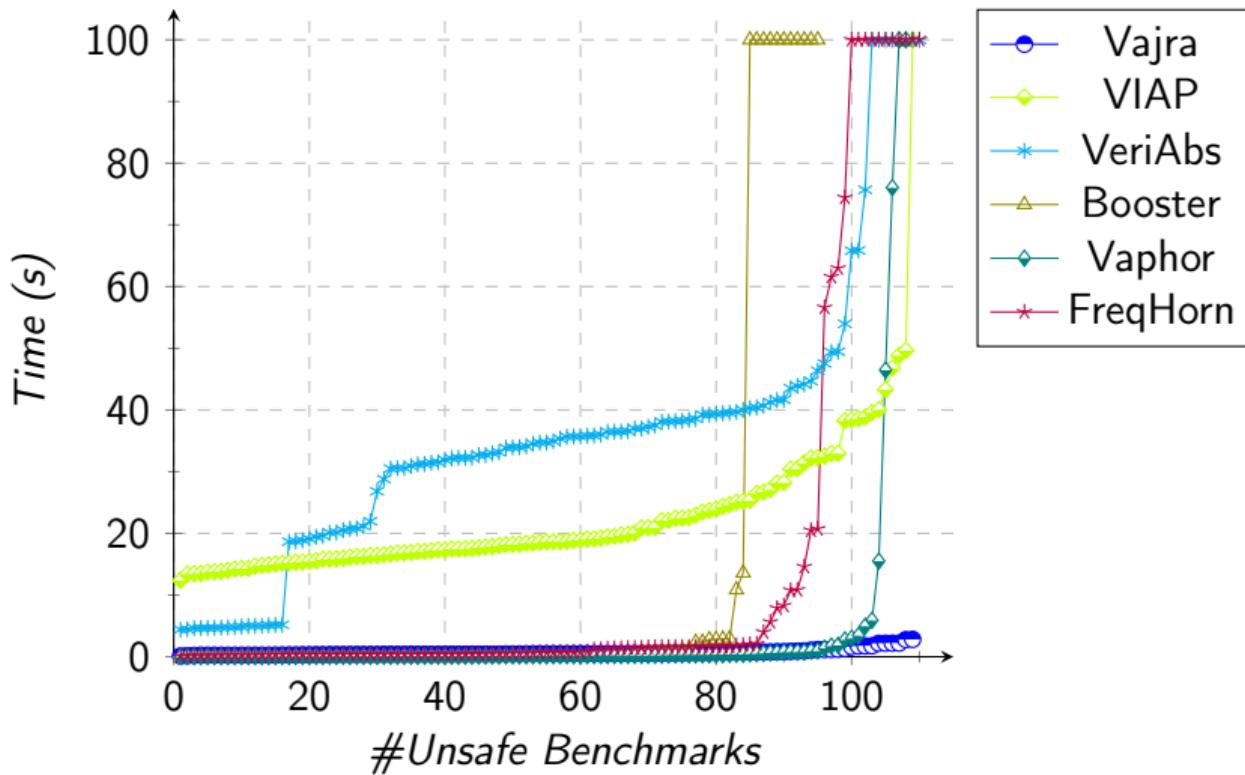
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Trends in Unsafe Benchmarks



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Difficulties and Challenges

```
x = 0;
for(i=0; i<N; i++)

```

```
x = x + N*N;
a[i] = a[i] + N;
```

```
for(j=0; j<N; j++)

```

```
b[j] = x + j;
```

P_N

Non-trivial construction of ∂P_N in some cases

Computed ∂P_N may have loops

- Two loops in this example

Analyzing ∂P_N as hard as P_N

Recursive application of FPI on ∂P_N may be required even for non-nested loops

```
x = 0;
for(i=0; i<N-1; i++)
  x = x + (N-1)*(N-1);
  a[i] = a[i] + N-1;
```

```
for(j=0; j<N-1; j++)
  b[j] = x + j;
```

```
for(i=0; i<N-1; i++)
  x = x + 2*N-1;
  a[i] = a[i] + 1;
```

```
x = x + N*N;
a[N-1] = a[N-1]+N;
```

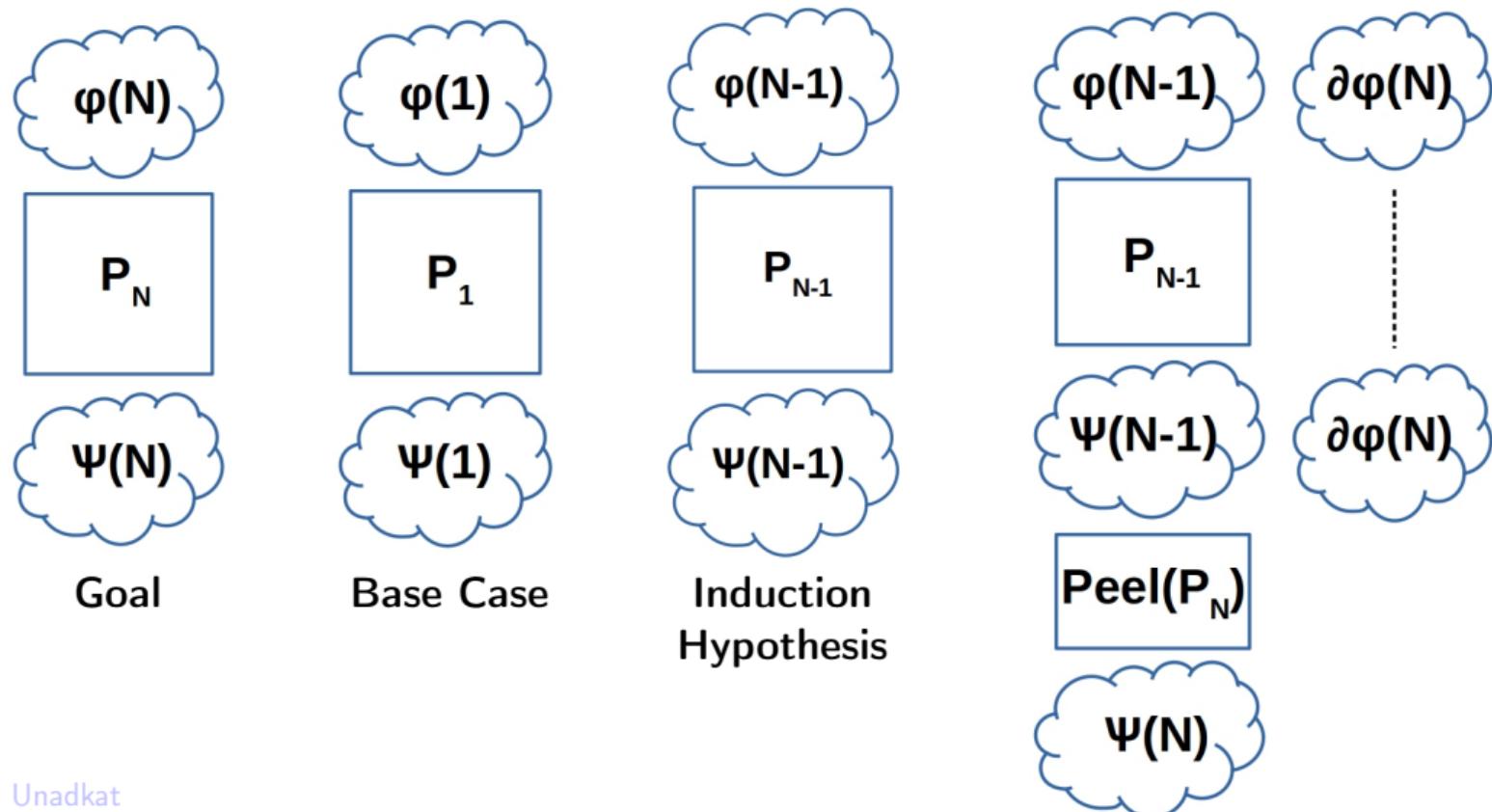
```
for(k=0; k<N-1; k++)
  b[k] = b[k] +
    (N-1)*(2*N-1)+N*N;
```

```
b[N-1] = x + N-1;
```

P_{N-1}

∂P_N

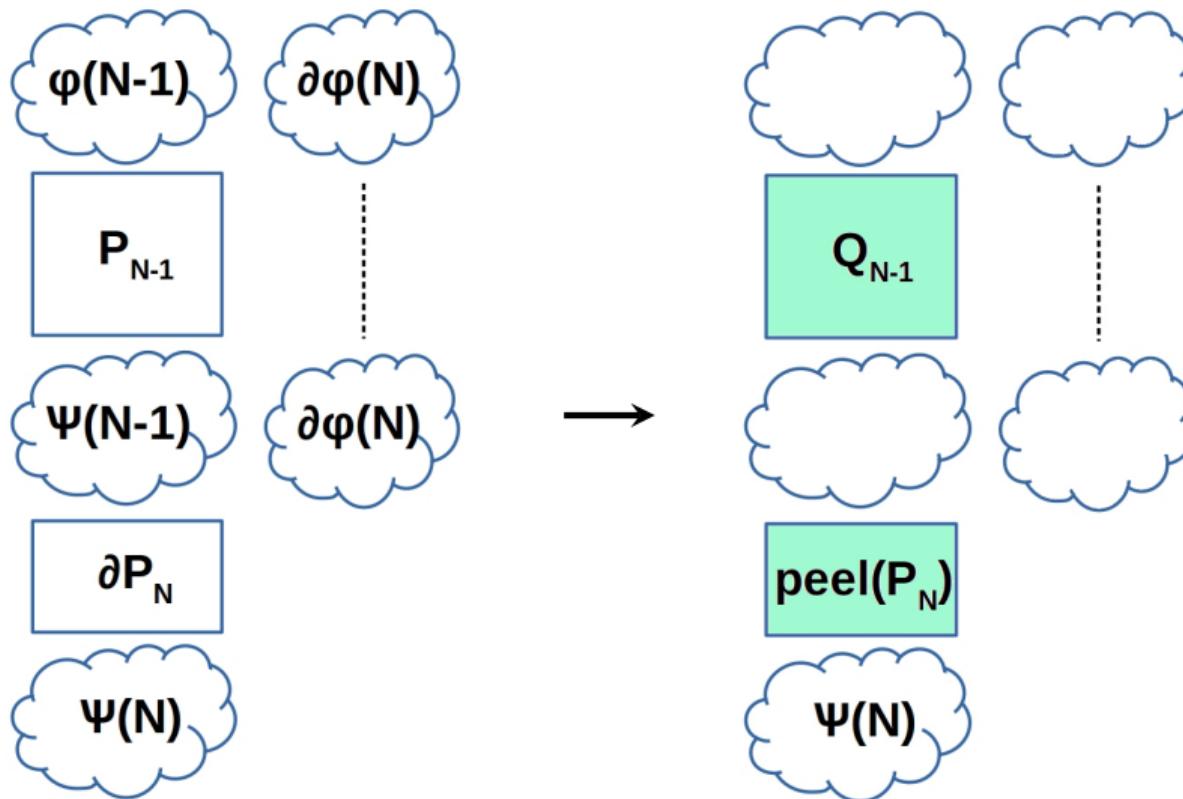
Full-Program Induction in Simple Cases



Evolution of 'Difference Computation'



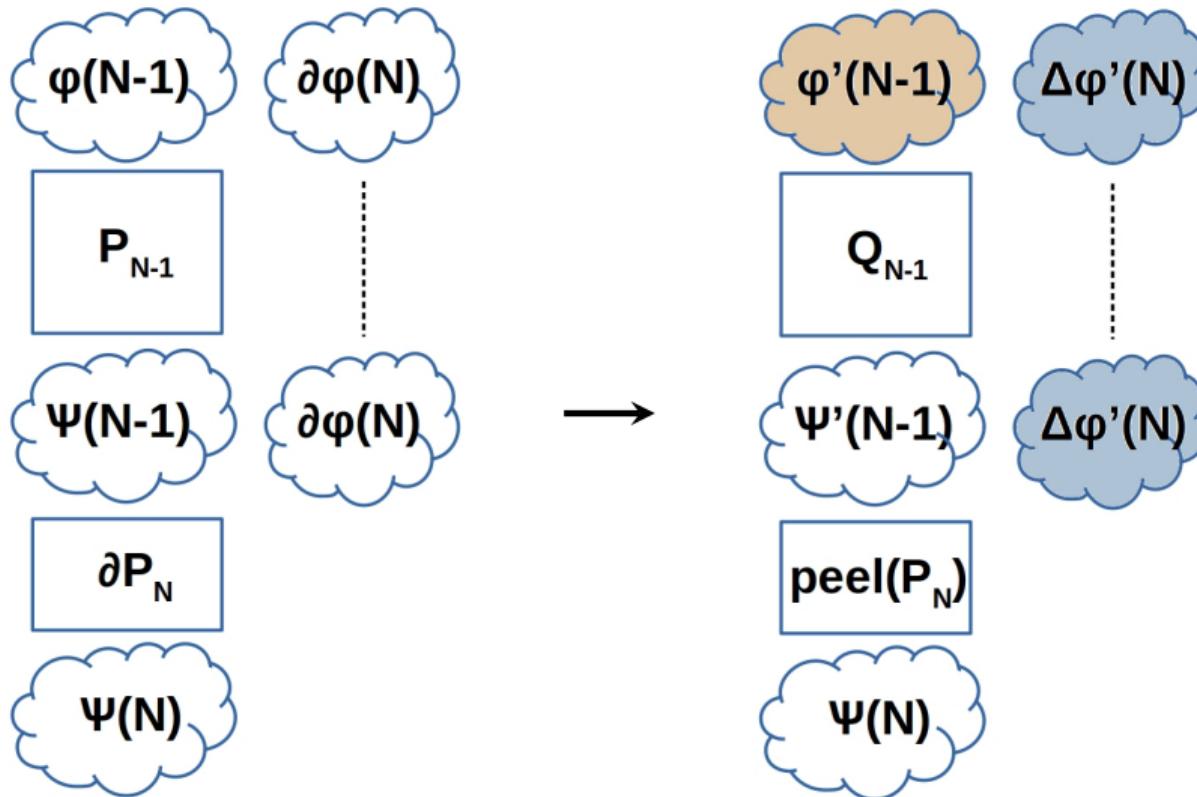
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Evolution of 'Difference Computation'



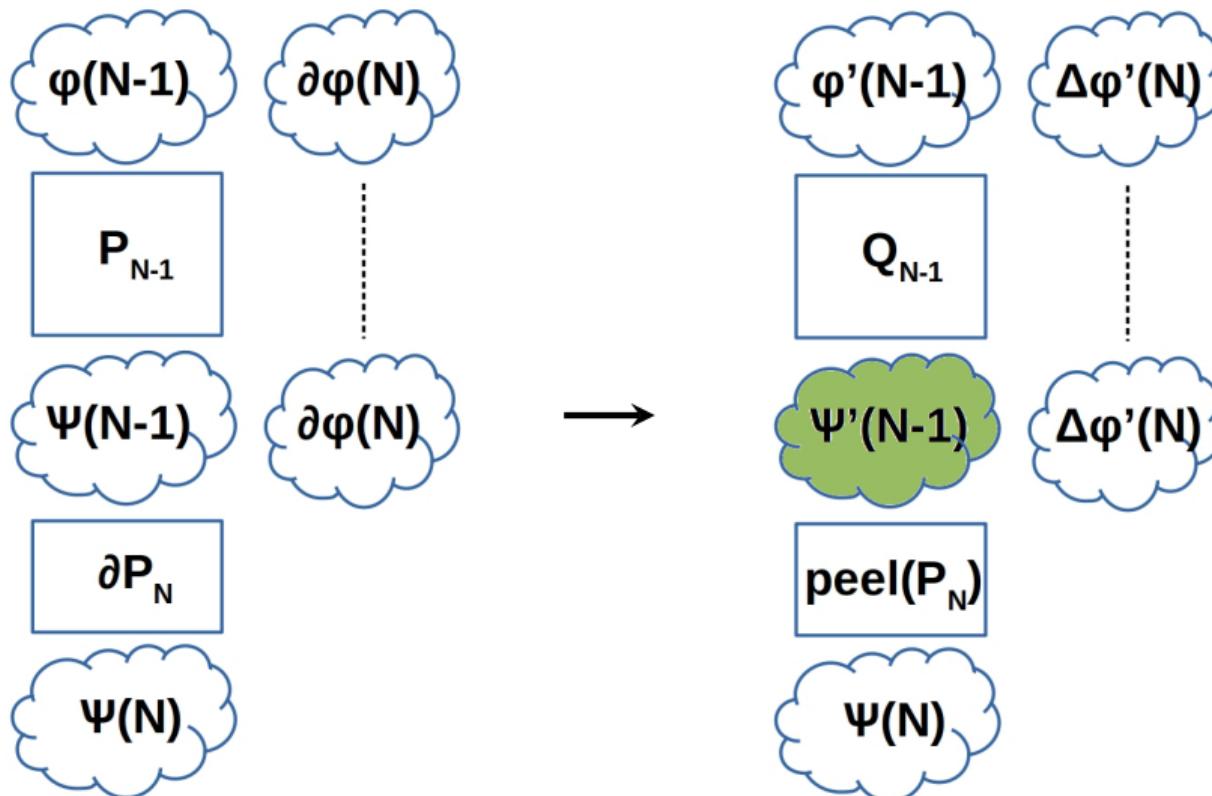
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Evolution of 'Full-Program Induction'



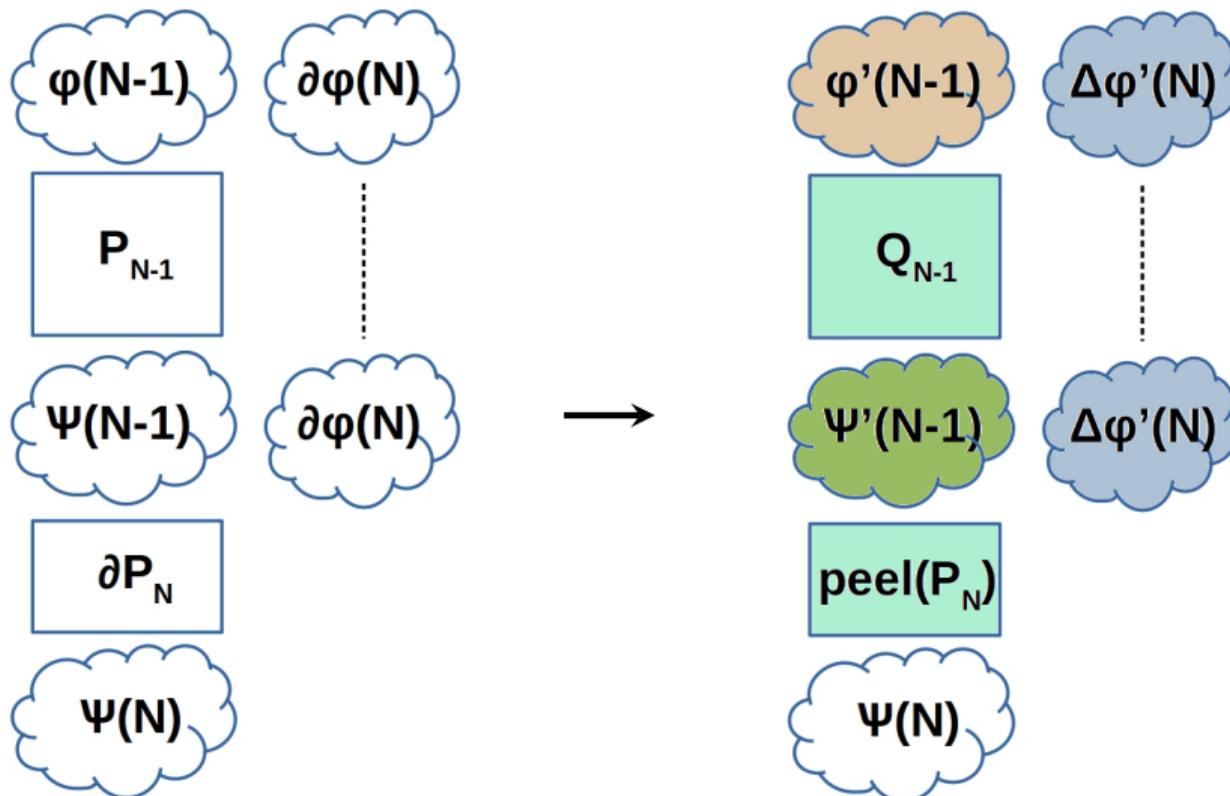
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Evolution of 'Full-Program Induction'



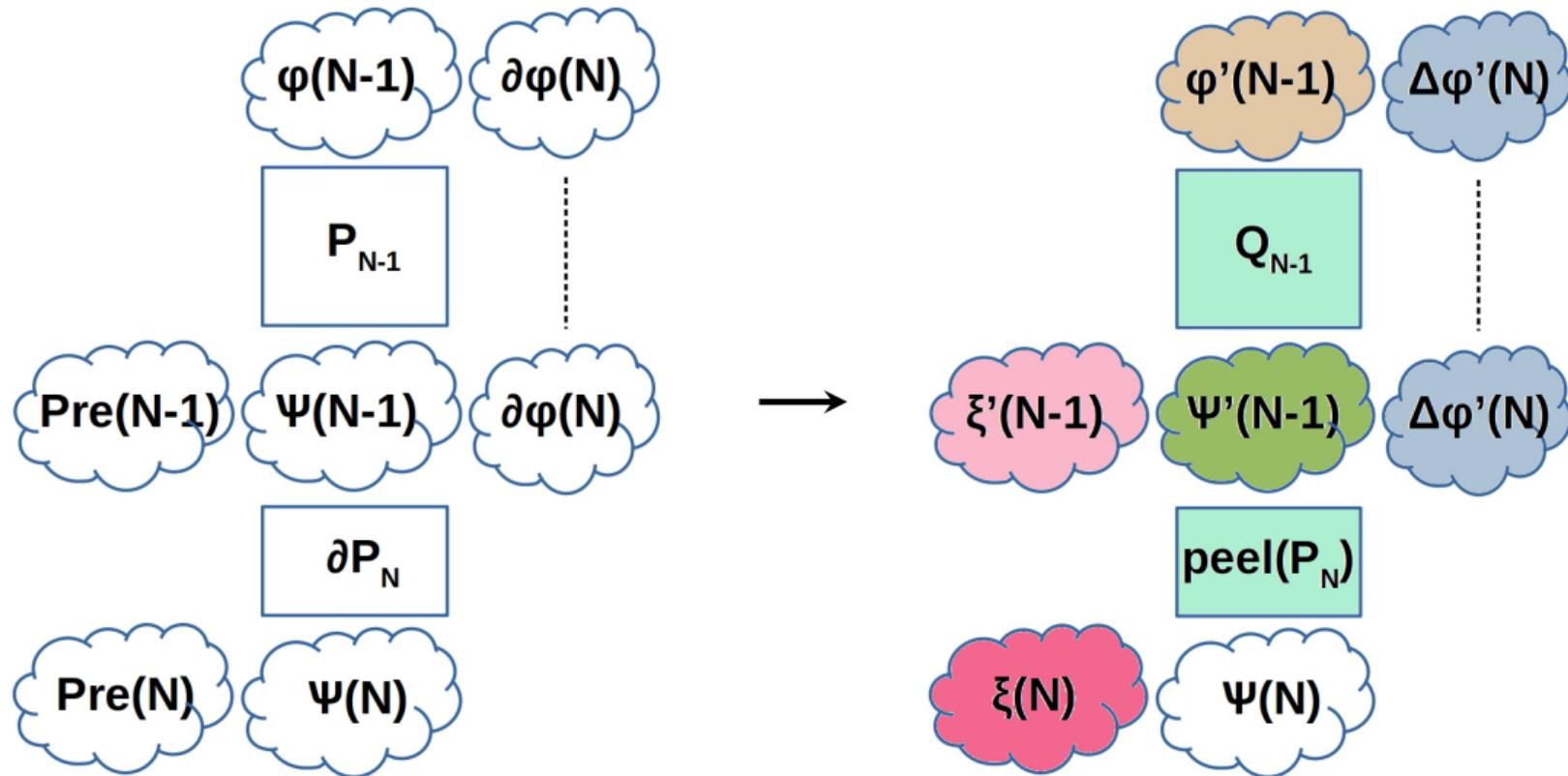
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Evolution of 'Full-Program Induction'



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Decomposing P_N into Q_{N-1} ; $\text{peel}(P_N)$

```
x = 0;
for(i=0; i<N; i++)
  x = x + N*N;
  a[i] = a[i] + N;
```

```
for(j=0; j<N; j++)
  b[j] = x + j;
```

P_N

```
x = 0;
for(i=0; i<N-1; i++)
  x = x + N*N;
  a[i] = a[i] + N;
```

```
x = x + N*N;
a[N-1] = a[N-1]+N;
for(j=0; j<N-1; j++)
  b[j] = x + j;
```

```
b[N-1] = x + N-1;
```



```
x = 0;
for(i=0; i<N-1; i++)
  x = x + N*N;
  a[i] = a[i] + N;
```

```
for(j=0; j<N-1; j++)
  b[j] = x+N*N+j;
```

```
x = x + N*N ;
a[N-1] = a[N-1]+N;
```

```
b[N-1] = x + N-1;
```

Q_{N-1}



```
x = 0;
for(i=0; i<N-1; i++)
  x=x+(N-1)*(N-1);
  a[i] = a[i] + N-1;
```

```
for(j=0; j<N-1; j++)
  b[j] = x + j;
```

```
for(i=0; i<N-1; i++)
  x = x + 2*N-1;
  a[i] = a[i] + 1;
```

```
x = x + N*N;
a[N-1] = a[N-1]+N;
```

```
for(k=0; k<N-1; k++)
  b[k] = b[k] +
  (N-1)*(2*N-1)+N*N;
```

```
b[N-1] = x + N-1;
```

$\text{peel}(P_N)$

P_{N-1}

∂P_N



Theorem (Correctness of the Decomposition)

$$Q_{N-1}; \text{peel}(P_N) \equiv P_N$$

Lemma (Reduction in Verification Complexity)

For a class of programs where upper bound expressions of loops are linear in N & loop counters

Max loop nesting depth in $\text{peel}(P_N)$ < Max loop nesting depth in P_N

Relating Q_{N-1} and P_{N-1}

Difference invariants $D(V_Q, V_P)$:

$$\phi(N-1) \equiv \forall i \in [0, N-1] \ a[i] = N-1$$

$$\forall i \in [0, N-1], a'[i] - a[i] = 1$$

$$\phi'(N-1) \equiv \forall i \in [0, N-1] \ a'[i] = N$$

```
void foo(int a[], int N)
{
    int b[N-1], x = 0;

    for(int i=0; i<N-1; i++) {
        x = x + a[i];
    }

    for(int j=0; j<N-1; j++) {
        b[j] = x + j;
    }
}
```

P_{N-1}

$$\psi(N-1) \equiv \forall i \in [0, N-1] \ b[i] = i + (N-1)^2$$

$$x' - x = N-1$$

$$\forall j \in [0, N-1], b'[j] - b[j] = 2 \times N - 1$$

```
void bar(int a'[], int N)
```

```
{ int b'[N-1], x' = 0;
```

```
for(int i=0; i<N-1; i++) {
    x' = x' + a'[i];
}
```

```
for(int j=0; j<N-1; j++) {
    b'[j] = x' + N + j;
}
```

Q_{N-1}

$$\psi'(N-1) \equiv \forall i \in [0, N-1] \ b'[i] = i + N^2$$

Verification using Evolved Full-Program Induction



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Base Case

Inductive Step

Strengthening

$$\phi(1) \equiv \forall i \in [0, 1] \ a[i] = 1$$

$$\partial\phi'(N) \equiv a[N-1] = N$$

$$\partial\phi'(N) \equiv a[N-1] = N$$

$$\psi'(N-1) \equiv \forall i \in [0, N-1] \ b[i] = i+N^2$$

$$\psi'(N-1) \equiv \forall i \in [0, N-1] \ b[i] = i+N^2$$

$$\xi'(N-1) \equiv x = N^2 - N$$

```
void foo(int a[], int N)
{
    int b[N], x = 0;
```

```
for(int i=0; i<1; i++) {
    x = x + a[i];
```

$$x = x + a[N-1];$$

$$x = x + a[N-1];$$

```
for(int j=0; j<1; j++) {
    b[j] = x + j;
```

$$b[N-1] = x + N-1;$$

$$b[N-1] = x + N-1;$$

```
}
```

$$\psi(1) \equiv \forall i \in [0, 1] \ b[i] = i+1 \quad \psi(N) \equiv \forall i \in [0, N] \ b[i] = i+N^2$$

$$\xi(N) \equiv x = N^2$$

$$\psi(N) \equiv \forall i \in [0, N] \ b[i] = i+N^2$$

Theorem (Soundness of Evolved Full-Program Induction)

- 1) $\{\varphi(N)\} \text{ P}_N \{\psi(N) \wedge \xi(N)\}$ holds for $1 \leq N \leq M$, for some $M > 0$
- 2) $\xi(N) \wedge D(V_Q, V_P) \Rightarrow \xi'(N)$ holds for all $N > 0$
- 3) $\{\xi'(N-1) \wedge \Delta\varphi'(N) \wedge \psi'(N-1)\} \text{ peel}(\text{P}_N) \{\xi(N) \wedge \psi(N)\}$ holds for all $N \geq M$

$\{\varphi(N)\} \text{ P}_N \{\psi(N)\}$ holds for all $N > 0$



- No data-dependence on N simplifies verification significantly
- Corresponding vars in Q_{N-1} and P_{N-1} are equal; $D(V_Q, V_P)$ is restricted to $V' = V$
- $\psi'(N-1) \equiv \psi(N-1)$ (same post-condition for Q_{N-1} and P_{N-1})
- $\xi'(N-1) \equiv \xi(N-1)$ (simplifies the strengthening step)

Theorem (Relative Completeness)

FPI is sound and relatively complete for post-conditions with arbitrary quantifiers when

- *programs have only non-nested loops*
- *variables and arrays computed in the program do not depend on N*

Completeness is relative to the capabilities of the underlying SMT solver

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Diffy Artifact



[https://doi.org/10.6084/
m9.figshare.14509467](https://doi.org/10.6084/m9.figshare.14509467)

- Experiments on 300+ benchmarks; performance comparison with:
 - ★ **Vajra** v1.0 - Chakraborty et al.'20
 - ★ **VeriAbs** v1.4.1-12 - Afzal et al.'20
 - ★ **VIAP** v1.1 - Rajkhowa & Lin'19
- Intel i7-6500U CPU, 2.5 GHz, 16GB RAM, Ubuntu 18.04.5 LTS; Time limit - 60s

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Diffy - Experimental Evaluation



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Program Category		Diffy			Vajra		VeriAbs		VIAP		
		S	U	TO	S	U	S	TO	S	U	TO
Safe C1	110	110	0	0	110	0	96	14	16	1	93
Safe C2	24	21	0	3	0	24	5	19	4	0	20
Safe C3	23	20	3	0	0	23	9	14	0	23	0
Total	157	151	3	3	110	47	110	47	20	24	113
Unsafe C1	99	98	1	0	98	1	84	15	98	0	1
Unsafe C2	24	24	0	0	17	7	19	5	22	0	2
Unsafe C3	23	20	3	0	0	23	22	1	0	23	0
Total	146	142	4	0	115	31	125	21	120	23	3

Table: Summary. S is successful result. U is inconclusive result. TO is timeout of 60s.

C1 - programs with standard array operations such as min, max, copy

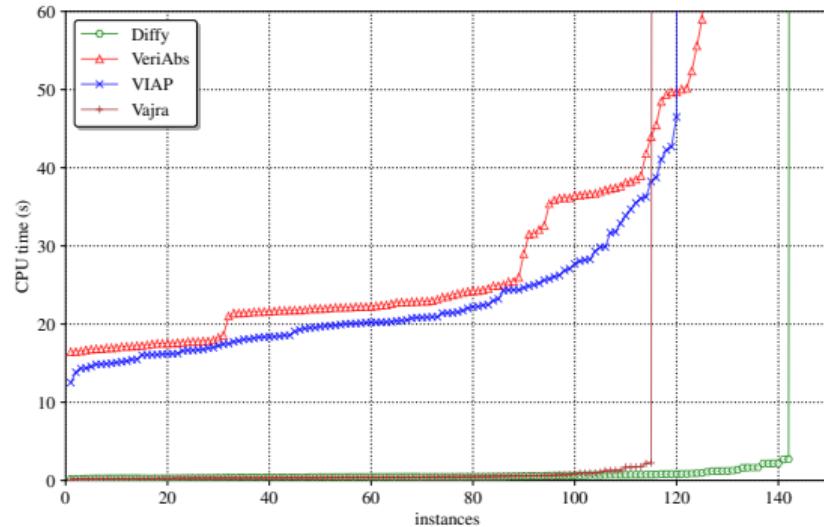
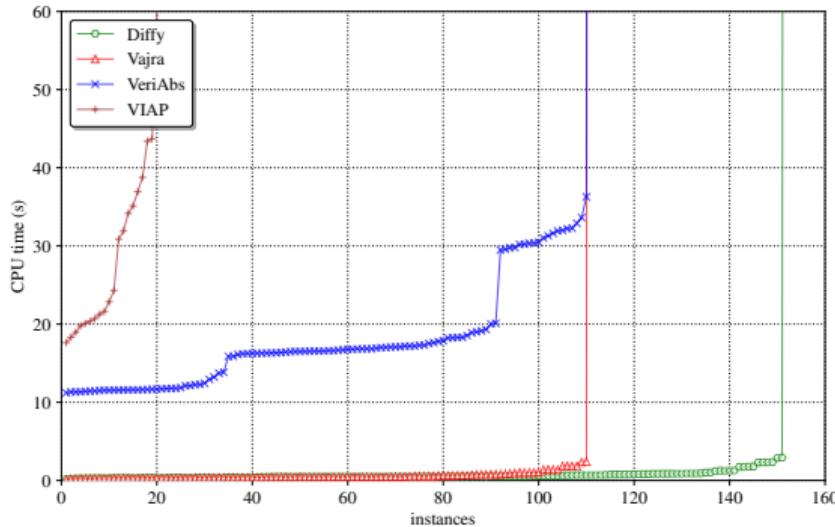
C2 - branch conditions affected by N, modulo operators in programs

C3 - nested loop programs

Diffy out-performs *winners* of SV-COMP from Arrays sub-category

Divyesh Unadkat

Diffy - Performance Evaluation



- ★ Diffy is 10× faster than VIAP, VeriAbs; proves more benchmarks than Vajra

- ★ Violations are reported by simple bmc when base case fails

Divyesh Unadkat

- ★ Full-Program Induction a novel and efficient verification technique
 - ★ Adapts induction in ways different from classical methods
 - ★ Prototyped in the verification tools [Vajra & Diffy](#)
 - ★ Outperforms state-of-the-art tools and techniques
 - ★ [Vajra & Diffy](#) are incorporated in [VeriAbs](#), a portfolio verifier from TCS Research
 - ★ Recurring winner of the International Software Verification Competition (SV-COMP)
-
- ◊ Future Prospects
 - ▶ Support for larger classes of programs and properties
 - ▶ Expand scope of inductive reasoning beyond arrays

Thank you

