

# A survey of techniques for precise program slicing

Komondoor V. Raghavan

Indian Institute of Science, Bangalore

# The problem of program slicing

- Given a **program**  $P$ , and a statement  $c$  (the **criterion**), identify statements and conditionals in the program that are **relevant** to the variables that occur in  $c$ 
  - A conditional is relevant if modifying the conditional could disturb the values of the variables in  $c$  from what's expected (on any input)
  - A statement is relevant if modifying its rhs could disturb the values of the variables at  $c$
- Intuitively, a slice is a **projection** of  $P$  that's behaviorally equivalent to  $P$  wrt what's observable at  $c$

# An example

```
sum = 0;
prod = 1;
i = 1;
while (i ≤ n) {
    sum = sum + i;
    prod = prod * i;
    i = i + 1;
}
print (sum);
print (prod);
```

↑

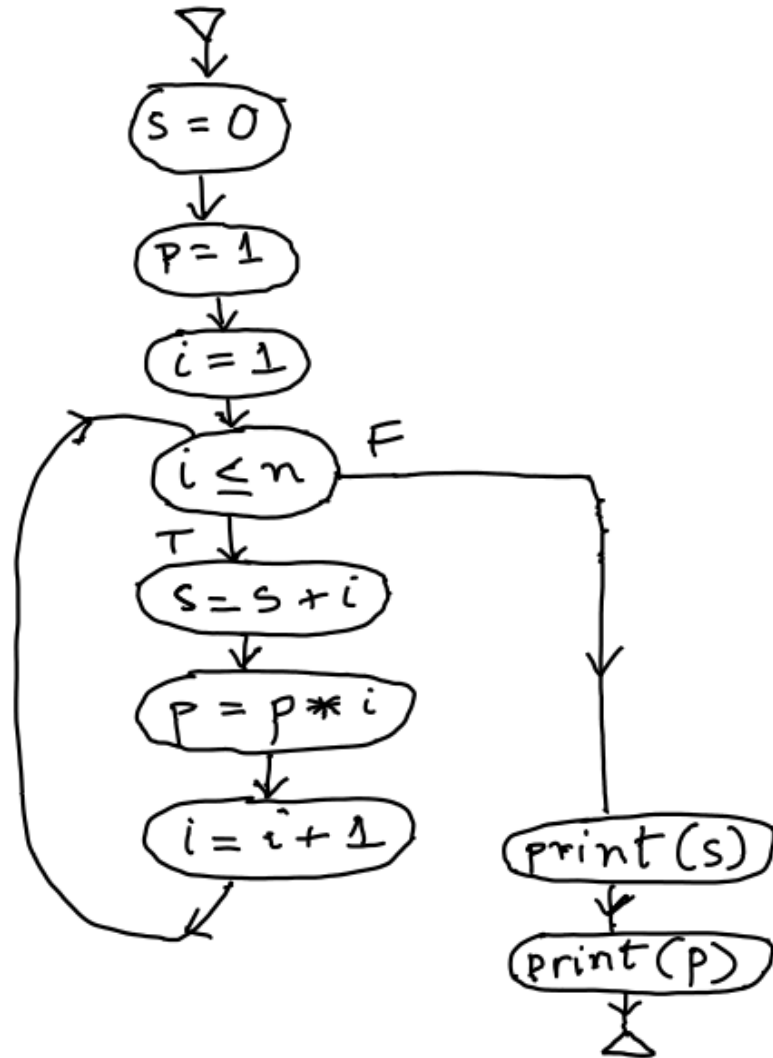


# Applications of slicing

- Software understanding tools
- Software maintenance tools
  - Clone detection
  - Merging back different variants of a program
  - Decomposition of monolithic programs into coherent functionalities (e.g., sum-product example)
  - Recovering independent threads from sequential program
- Compilers and verification tools
  - Improves scalability, by identifying portion of program that's relevant to a property that needs to be checked

# Control flow graph

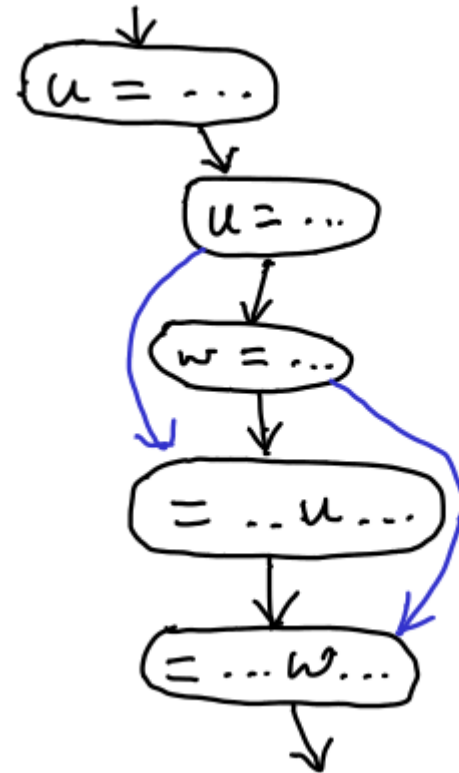
```
sum = 0;  
prod = 1;  
i = 1;  
while (i ≤ n) {  
    sum = sum + i;  
    prod = prod * i;  
    i = i + 1;  
}  
print (sum);  
print (prod);
```



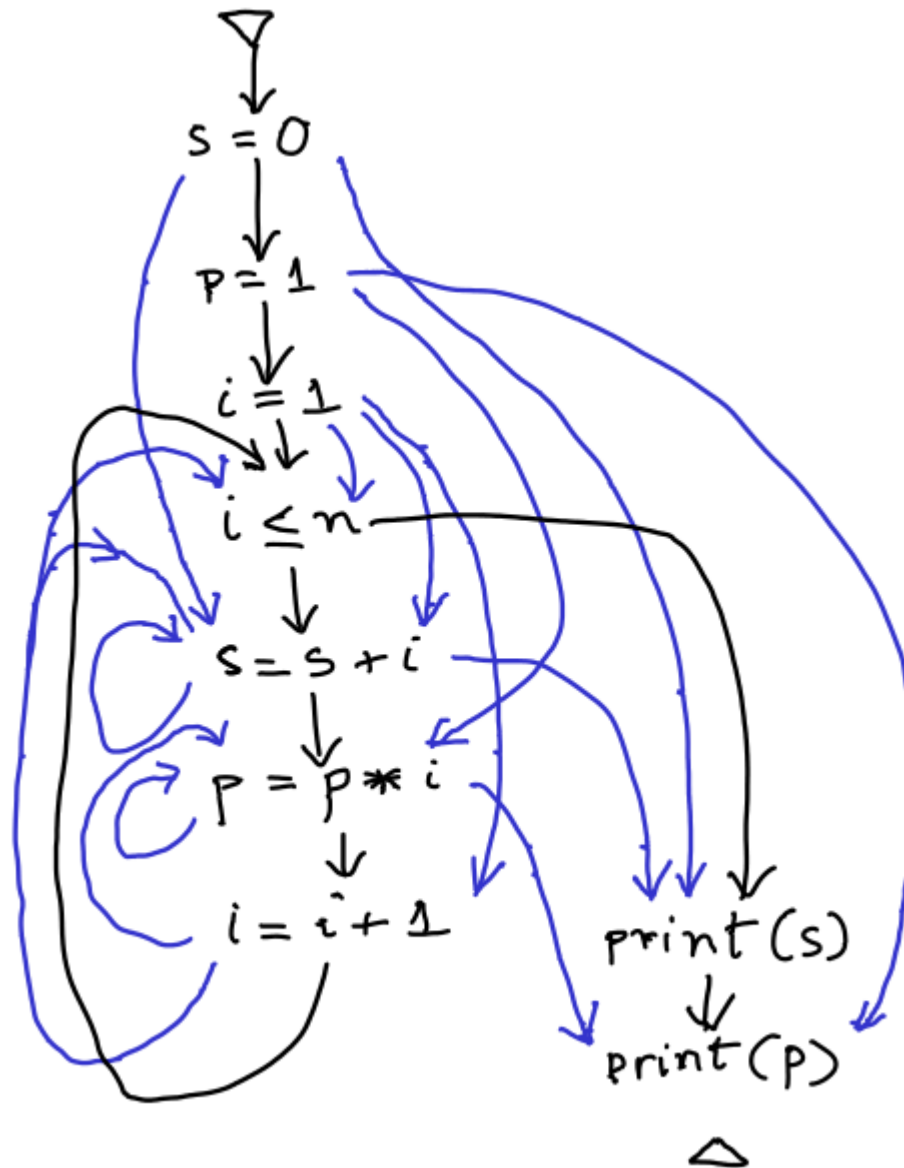
# Flow dependence relation

$s1 \rightarrow s2$  if

- $s1$  defines a variable  $v$
- $s2$  uses  $v$
- there is a control-flow path from  $s1$  to  $s2$  along which no other statement defines  $v$



# Flow dependences



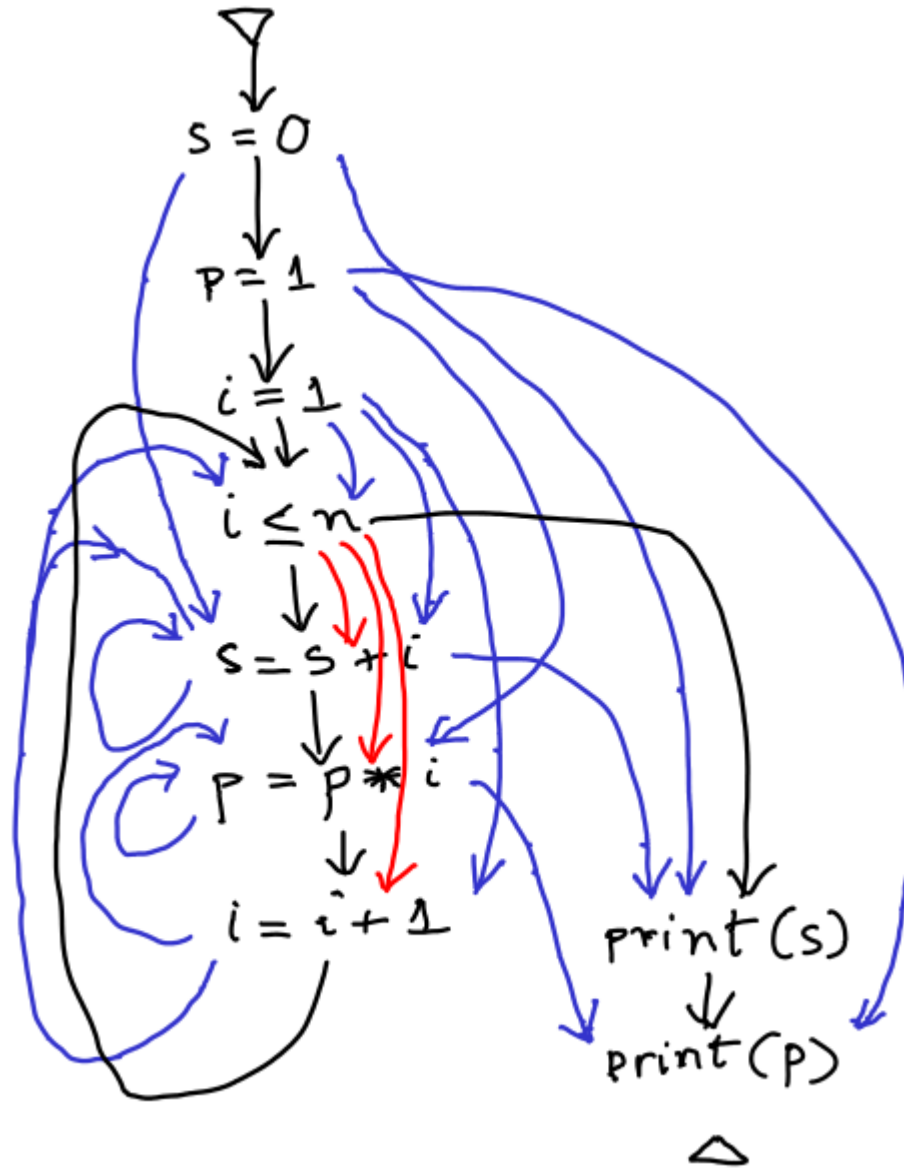
# Control dependence relation

$s1 \longrightarrow s2$  if

- $s1$  is a conditional
- $s2$  is definitely reachable along one branch out of  $s1$
- there is a path along the other branch along which  $s2$  is not reached



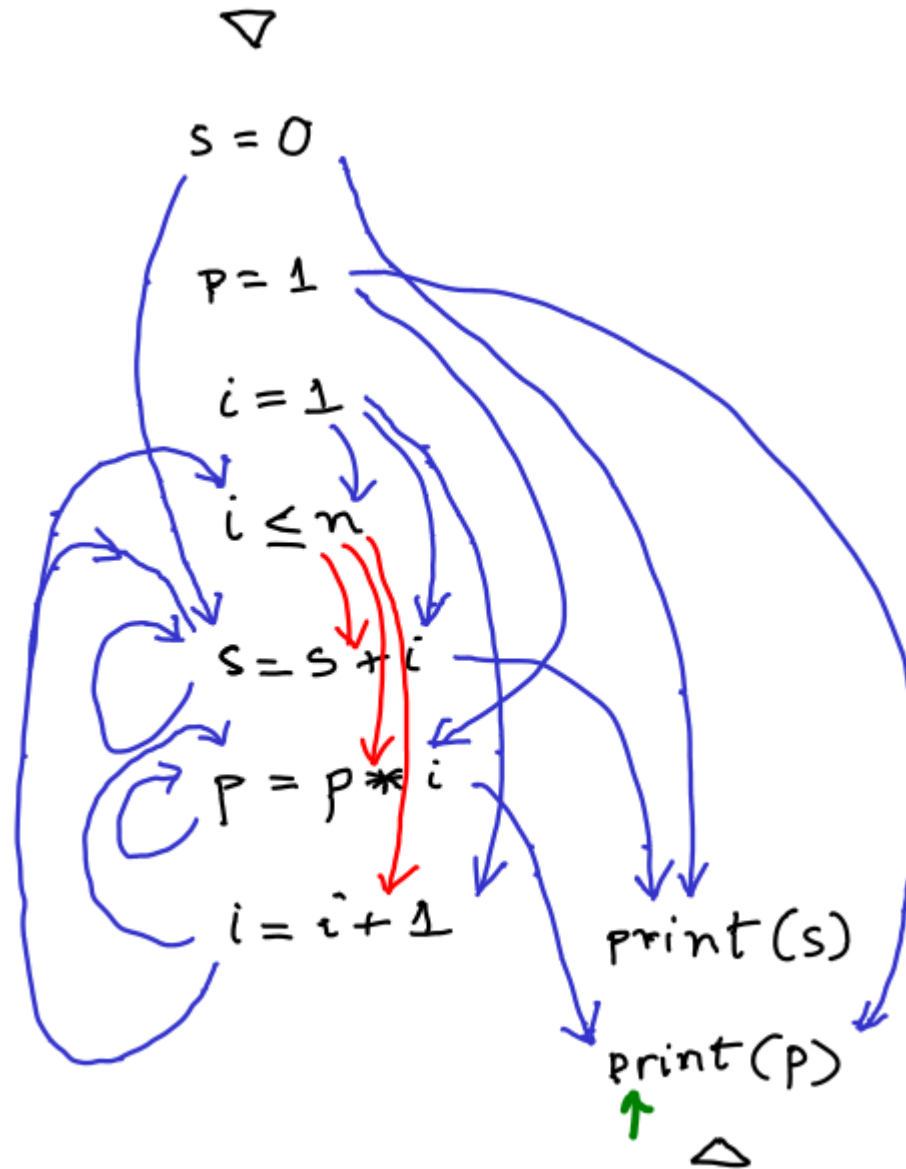
# Flow + control dependences



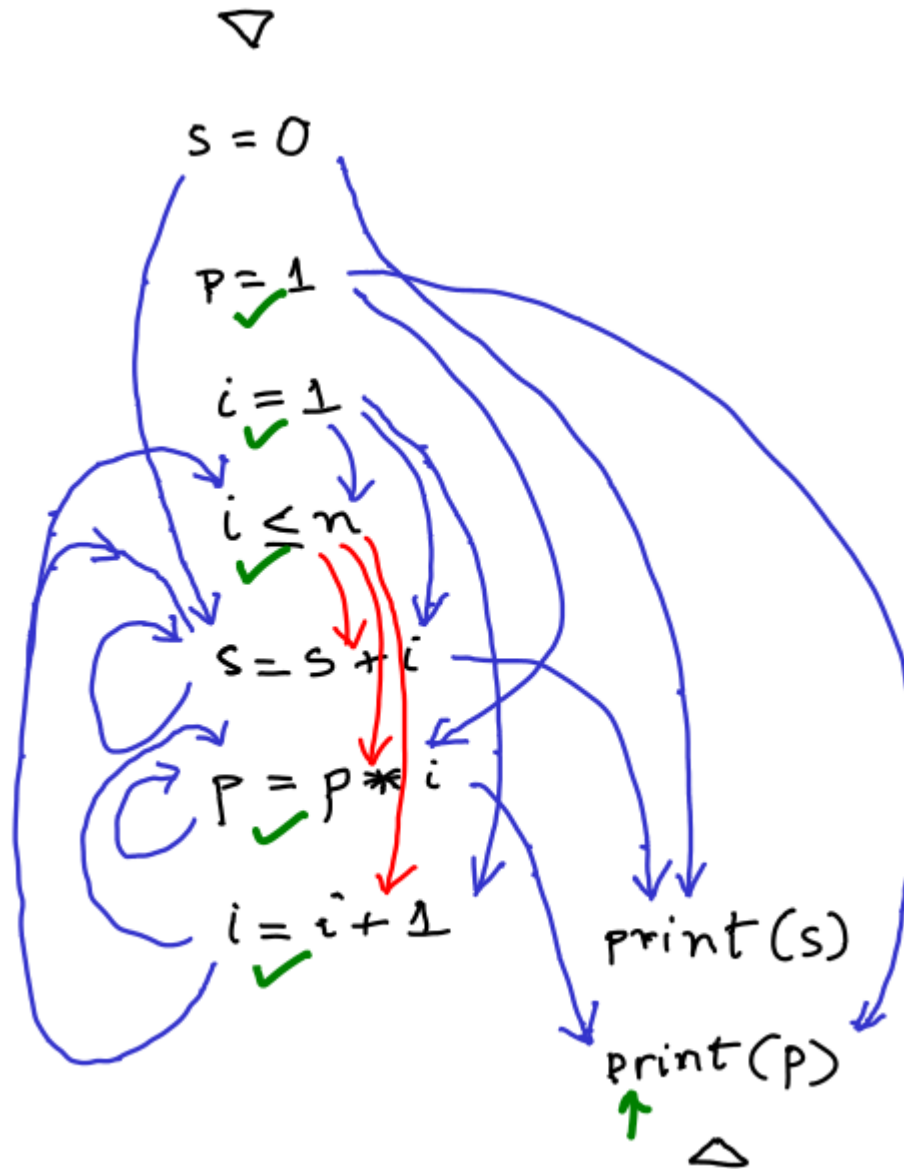
# Basic slicing technique

1. From  $P$ , construct flow dependence relation  $F$  and control dependence relation  $C$
2. Obtain reflexive-transitive closure  $R$  of  $(F \cup C)$
3. Slice =  $\{ s \mid \langle s, c \rangle \text{ in } R \}$ , where  $c$  is given criterion

# Illustration of slicing



# Illustration of slicing

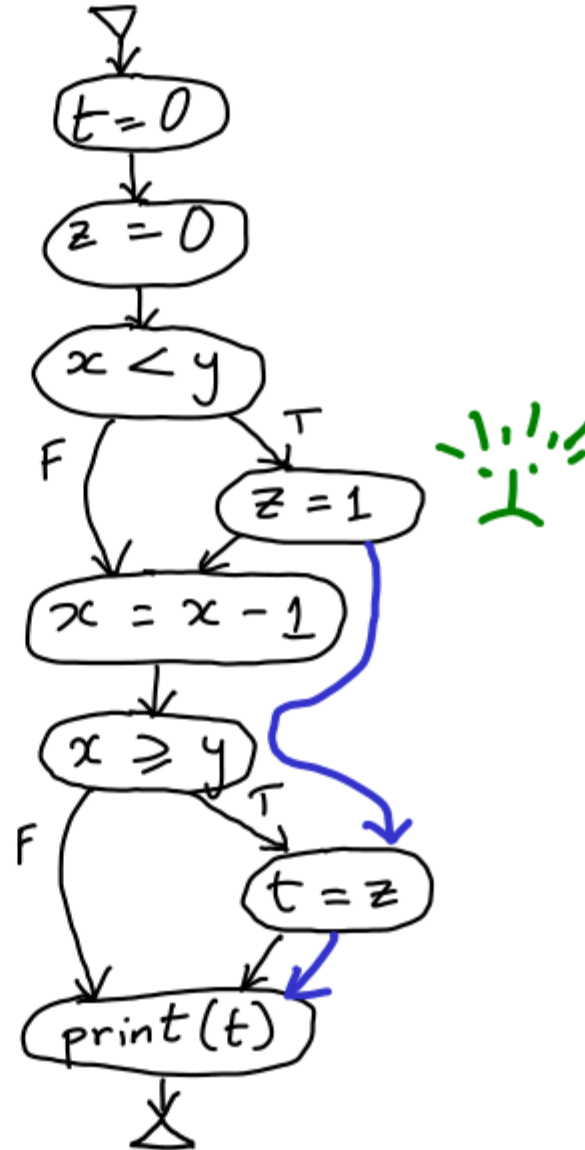


# A more complex example

```
t = 0; ✓  
z = 0; ✓  
if (x < y) ✓  
    z = 1;  
x = x - 1; ✓  
if (x ≥ y) ✓  
    t = z; ✓  
print(t);  
  ↑
```

# Basic technique yields imprecise slice

```
t = 0;  
z = 0;  
if (x < y)  
    z = 1;  
x = x - 1;  
if (x ≥ y)  
    t = z;  
print(t);
```

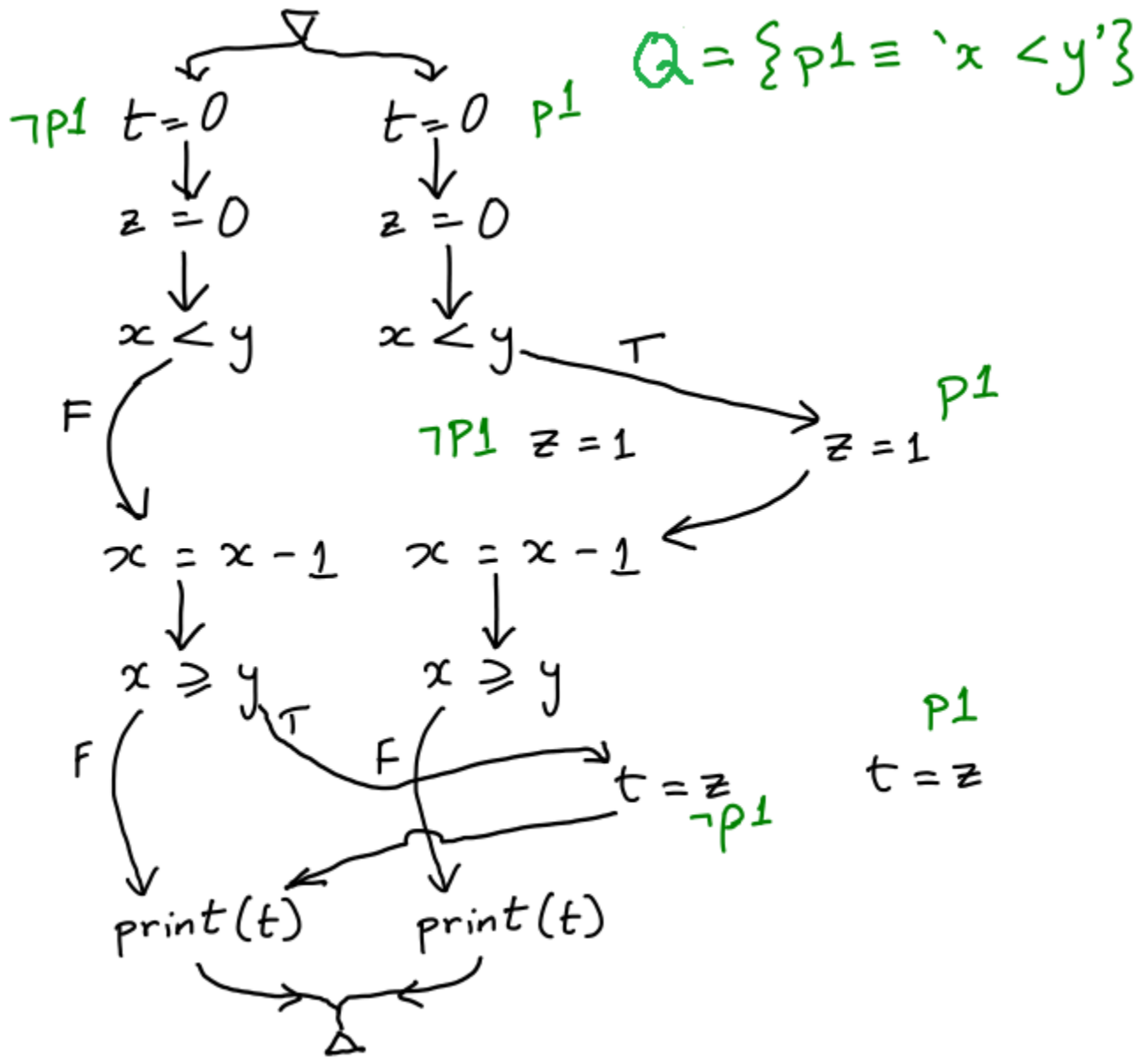


# Need to rule out infeasible paths

[Hong et al., '95] achieve this by code duplication

- Take a set of predicates  $Q$  (on program variables) as input
- Make up to  $2^{|Q|}$  copies of each statement, one for each combination of predicate evaluations
- Identify feasible paths in this “exploded” flow graph
- Then, apply usual slicing technique on this exploded graph

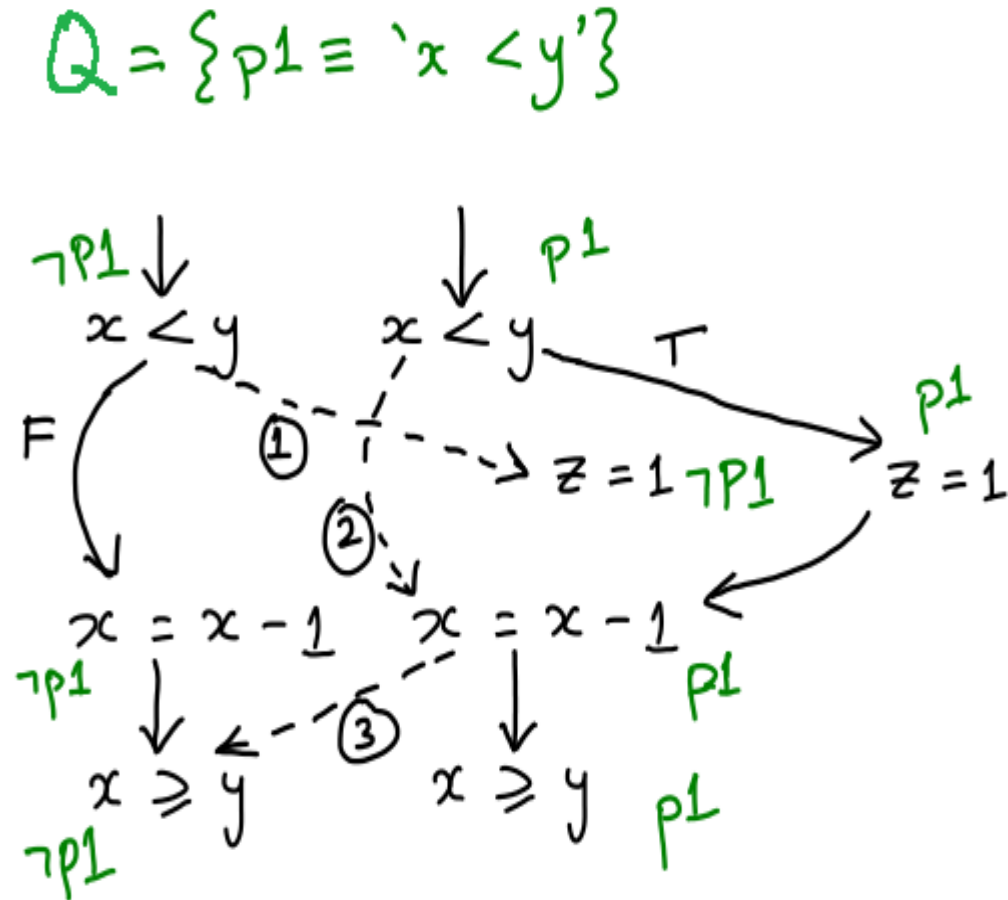
# Exploded flow graph





# Adding edges in exploded flow graph

- Edge (1) not present because in state  $\neg p1$   $x < y$  cannot be True
- Edge (2) not present for similar reason
- Edge (3) not present because:  
Program in state  $p1$  remains in same state after executing " $x = x - 1$ "

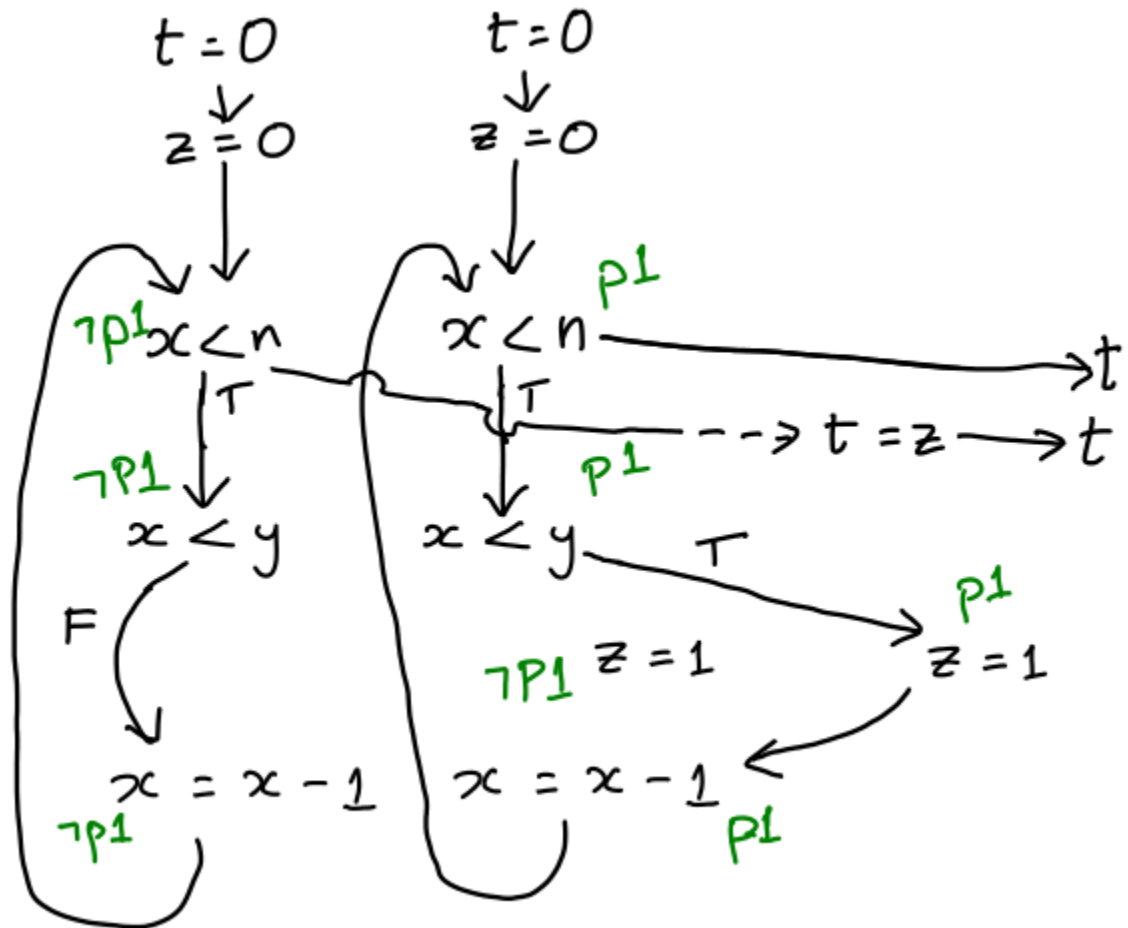


# Loops

```
t = 0;  
z = 0;  
while (x < n)  
    if (x < y)  
        z = 1;  
    x = x - 1;  
}  
if (x ≥ y)  
    t = z;  
print (t);
```

# Loops

```
t = 0;
z = 0;
while (x < n) {
  if (x < y)
    z = 1;
  x = x - 1;
}
if (x ≥ y)
  t = z;
print(t);
```



# Precision is closely linked to given partitioning

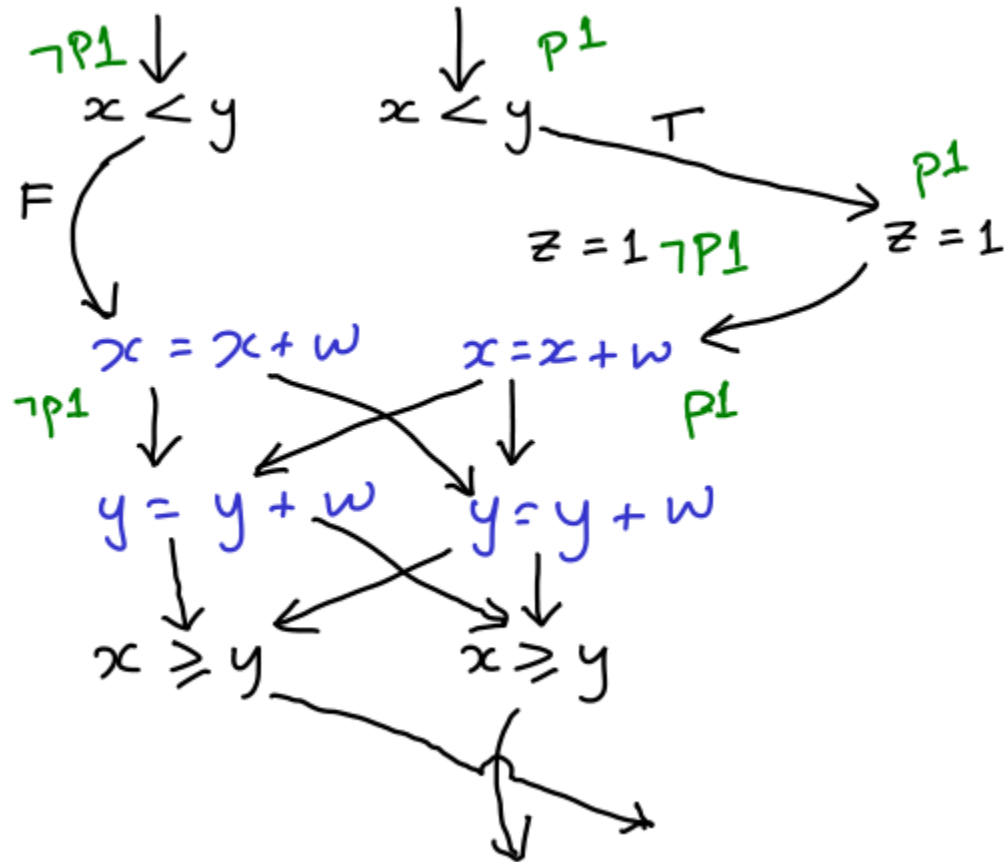
```
t = 0;  
z = 0;  
if (x < y)  
    z = 1;  
x = x + w;  
y = y + w;  
if (x ≥ y)  
    t = z;  
print (t);
```

# Precision is closely linked to given partitioning ☹️

```

t = 0;
z = 0;
if (x < y)
    z = 1;
x = x + w;
y = y + w;
if (x ≥ y)
    t = z;
print (t);

```



# Summary of Hong et al.

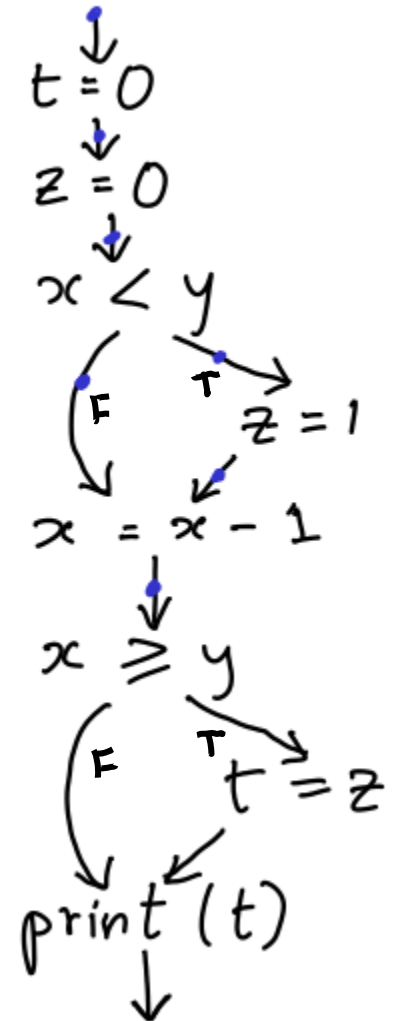
- Obtains more precise slices than standard slicing, by excluding certain infeasible paths
- Handles loops cleanly
- Precision is linked to given partitioning  $Q$ 
  - Partitioning needs to be selected carefully, based on statements in program
  - In general, a bigger  $Q$  gives better precision (at the expense of slicing time)
  - Other work exists to infer suitable  $Q$  automatically from program by iterative refinement
    - However, in the context of verification, not slicing

# An approach based on symbolic execution [Jaffar et al., '12]

- Explodes control-flow graph by symbolically executing all possible paths in the program
- Does not require  $Q$  as input
- Basic idea
  - During execution, at each point
    - Have a symbolic store, which tracks current values of variables as expressions on program's initial parameters
    - Have path constraint, which is a predicate on the initial parameters that needs to hold for path  $p$  to be feasible
  - If  $p$  is  $s1 \rightsquigarrow sn$ , and  $sn \rightarrow sp$  and  $sn \rightarrow sq$ , split execution into two paths  $s1 \rightsquigarrow sp$  and  $s1 \rightsquigarrow sq$ .

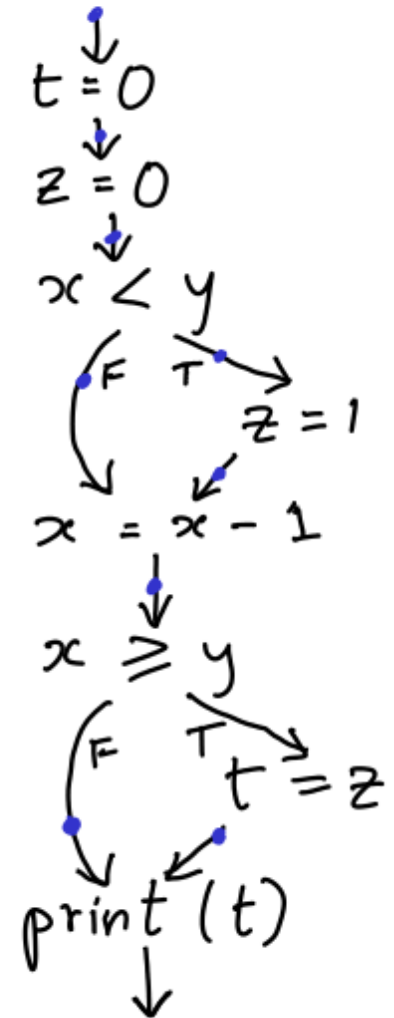
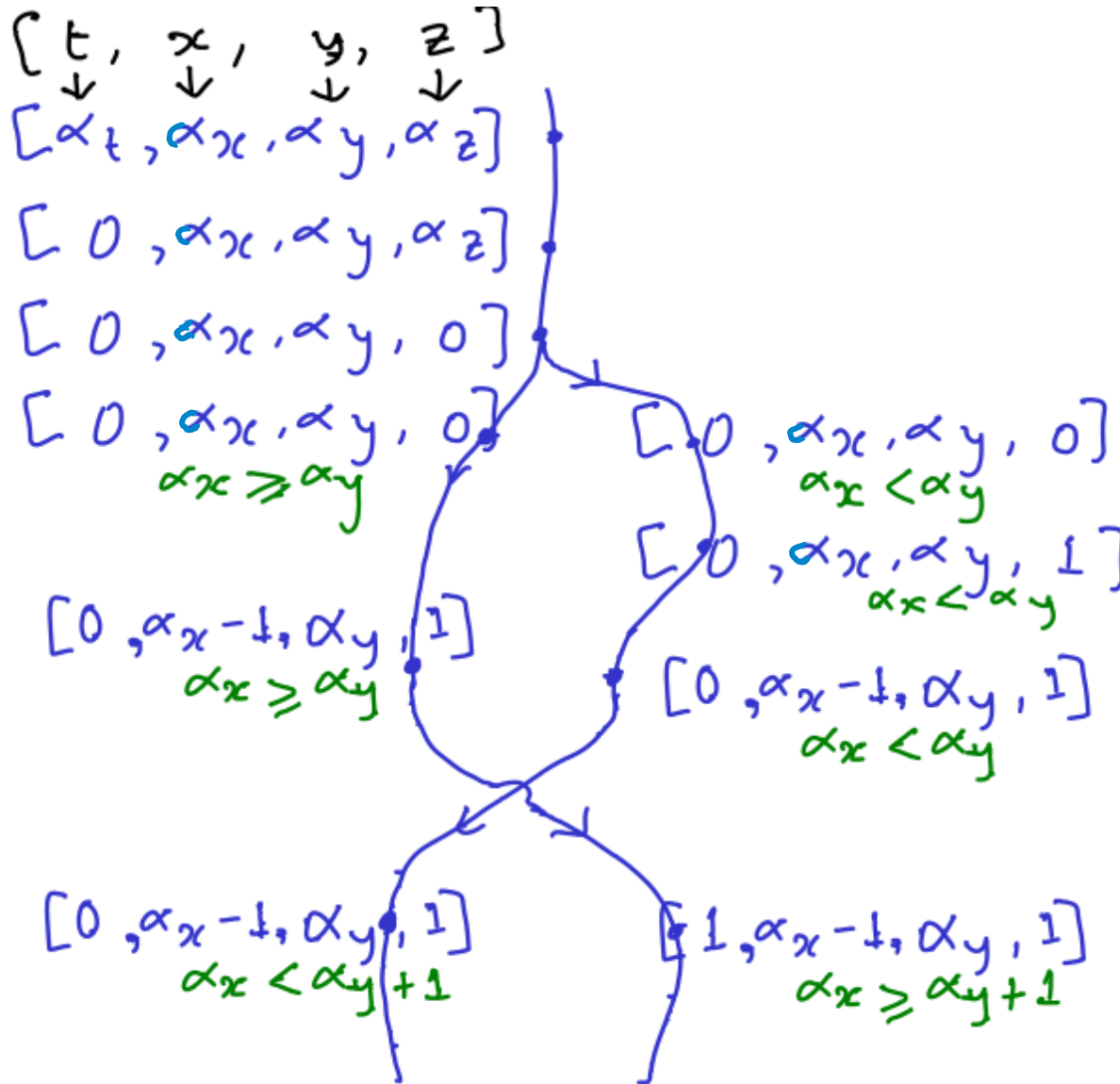
# Illustration of symbolic execution

$[t, x, y, z]$   
 $\downarrow \quad \downarrow \quad \downarrow \quad \downarrow$   
 $[\alpha t, \alpha x, \alpha y, \alpha z]$   
 $[0, \alpha x, \alpha y, \alpha z]$   
 $[0, \alpha x, \alpha y, 0]$

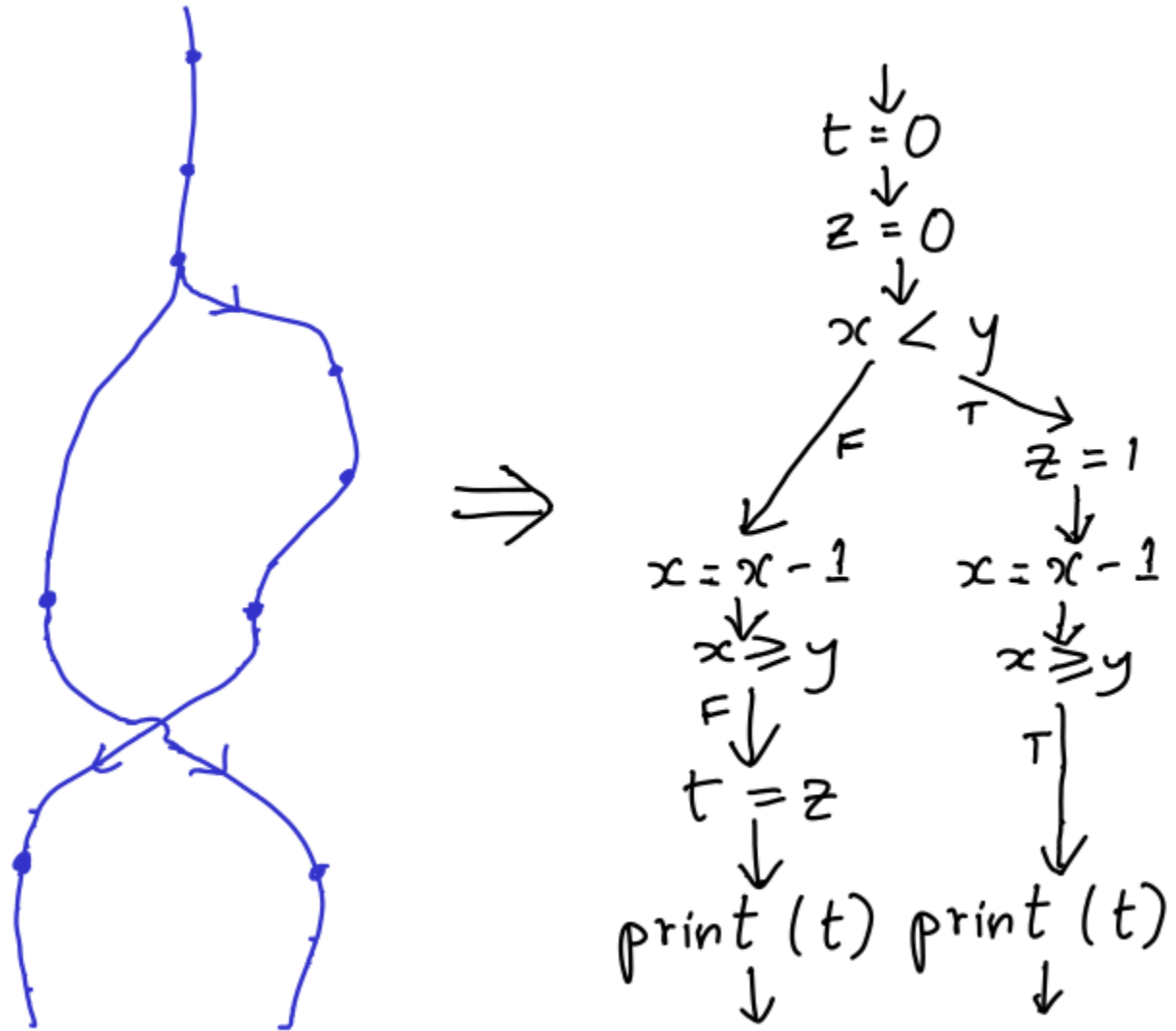




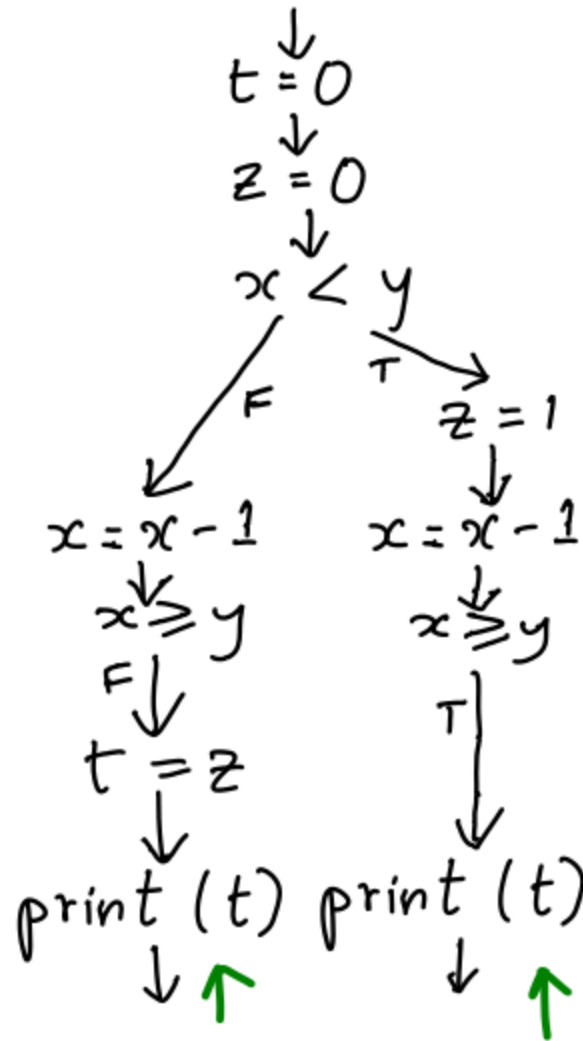
# Illustration of symbolic execution



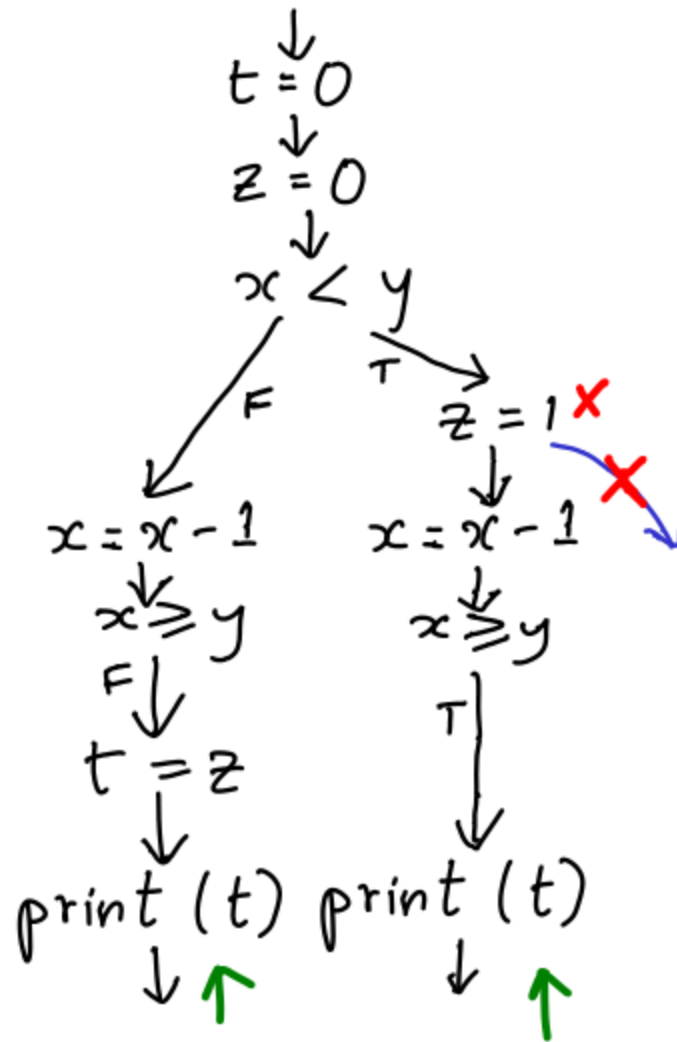
# Symbolic paths $\rightarrow$ exploded flow graph



# Now, perform standard slicing



# Now, perform standard slicing



# So what do we have ...

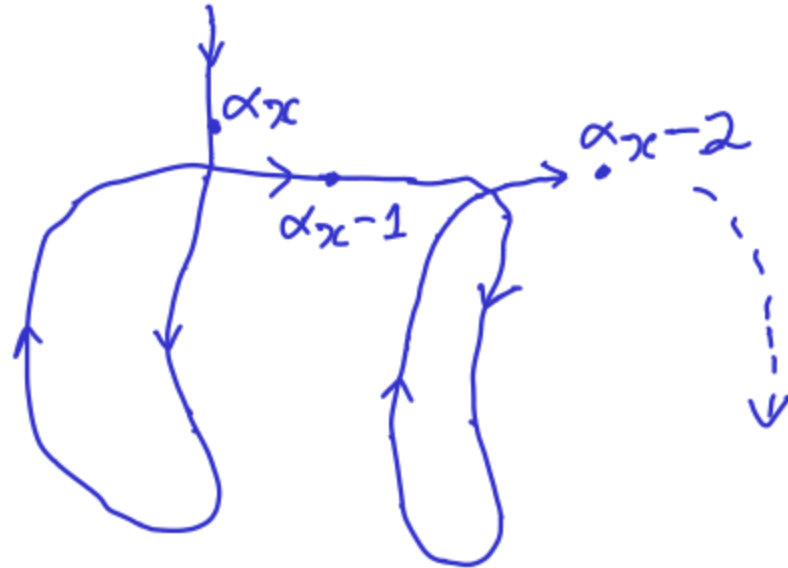
- Fully automated. Does not need partitioning  $Q$ .
- Precise even on examples like the complex one seen earlier (involving  $x = x + w; y = y + w;$ )
- However, problem with loops

# The problem with loops

```
t = 0;
z = 0;
while (x < n) {
    if (x < y)
        z = 1;
    x = x - 1;
}
if (x ≥ y)
    t = z;
print (t);
```

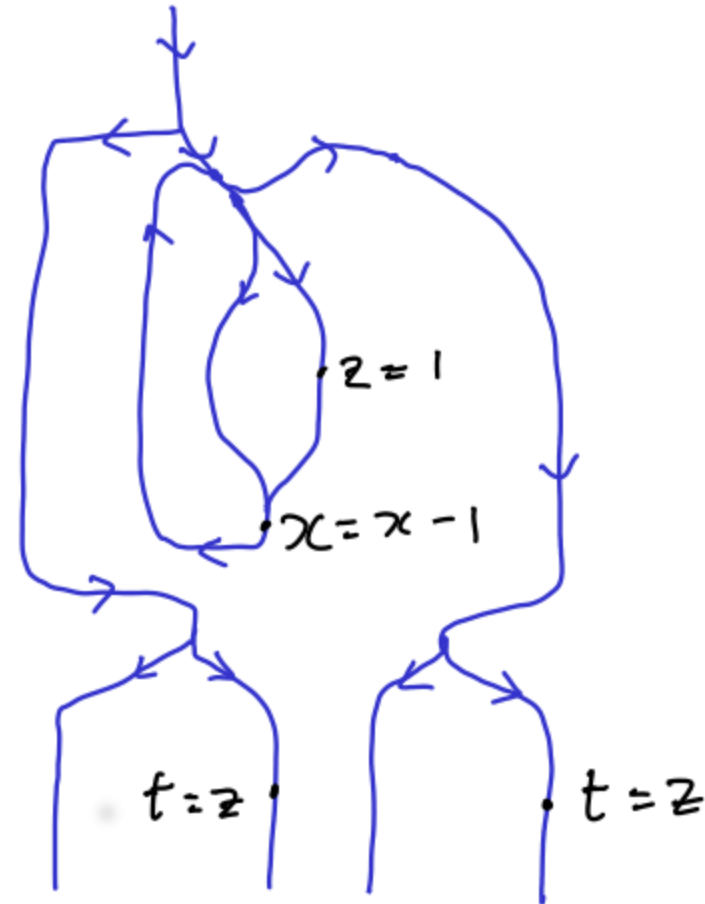
# The problem with loops

```
t = 0;  
z = 0;  
while (x < n) {  
  if (x < y)  
    z = 1;  
  x = x - 1;  
}  
if (x ≥ y)  
  t = z;  
print (t);
```



# The exploded flow graph

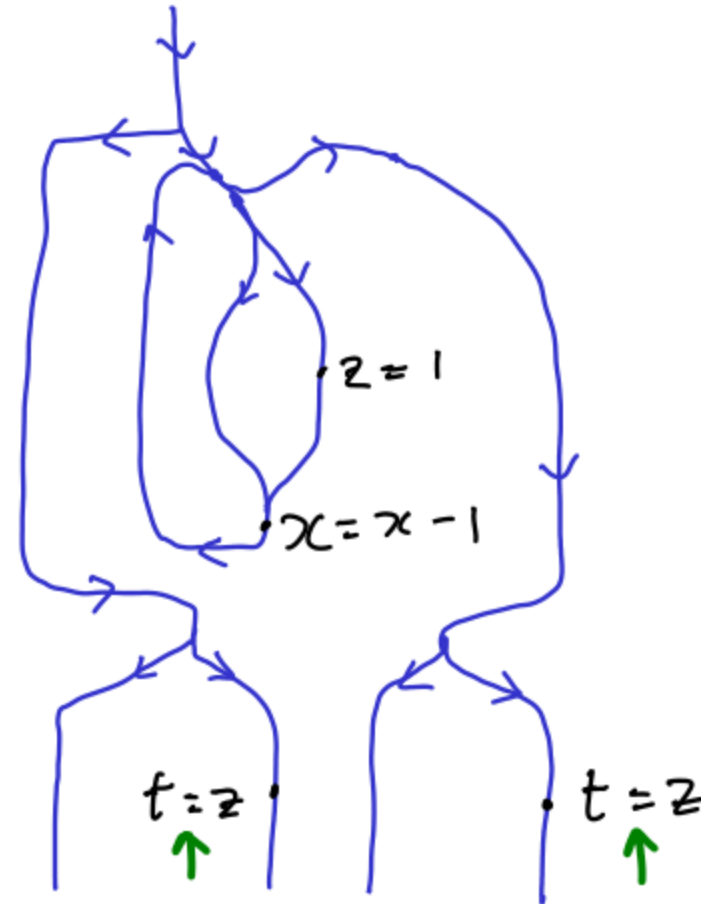
```
t = 0;  
z = 0;  
while (x < n) {  
  if (x < y)  
    z = 1;  
  x = x - 1;  
}  
if (x ≥ y)  
  t = z;  
print(t);
```





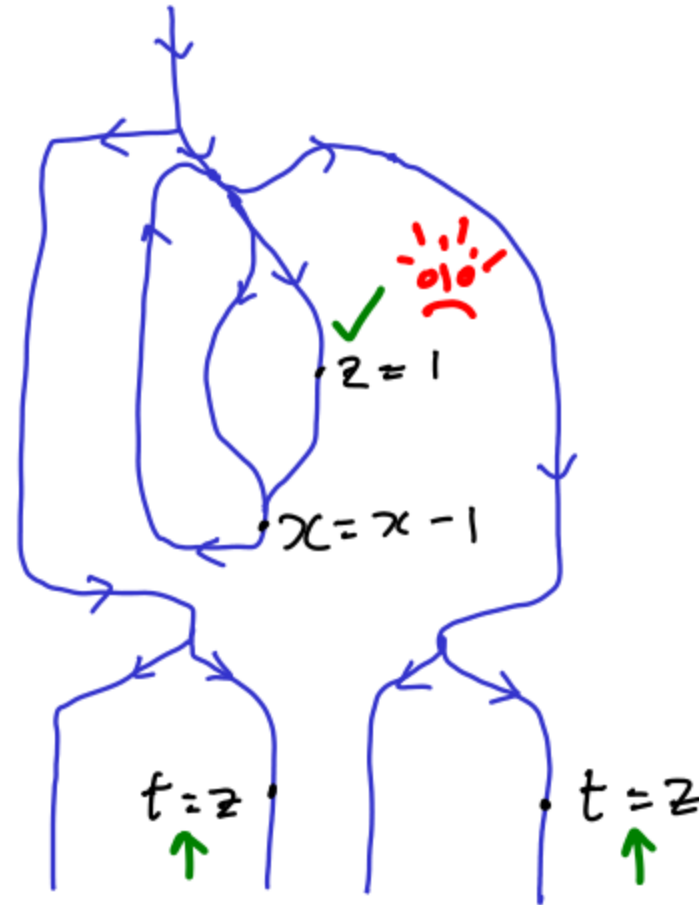
# Slicing

```
t = 0;  
z = 0;  
while (x < n) {  
  if (x < y)  
    z = 1;  
  x = x - 1;  
}  
if (x ≥ y)  
  t = z;  
print(t);
```



# Imprecise slicing ☹️

```
t = 0;  
z = 0;  
while (x < n) {  
  if (x < y)  
    z = 1;  
  x = x - 1;  
}  
if (x ≥ y)  
  t = z;  
print(t);
```



# Our approach [Komondoor '13]

- Objectives
  - Fully precise in loop-free fragments, without relying on user-provided partitioning
  - Use user-provided partitioning only when “crossing” loop iterations
  - Handle programs that access and manipulate linked data structures

# We use PIM

- What is PIM?
  - A graph/term representation for C programs
  - An equational logic and rewrite system on terms
    - Embodies the full concrete operational semantics of C
- Applications
  - Precise constrained slicing
  - Partial evaluation

# Example PIM term

$x = 1;$

Store cell

$\{a(x) \mapsto [1]\}$

$y = x + 2;$

sequential composition

$\{a(y) \mapsto [ @ a(x) + 2 ]\}$

if ( $x == 2$ )

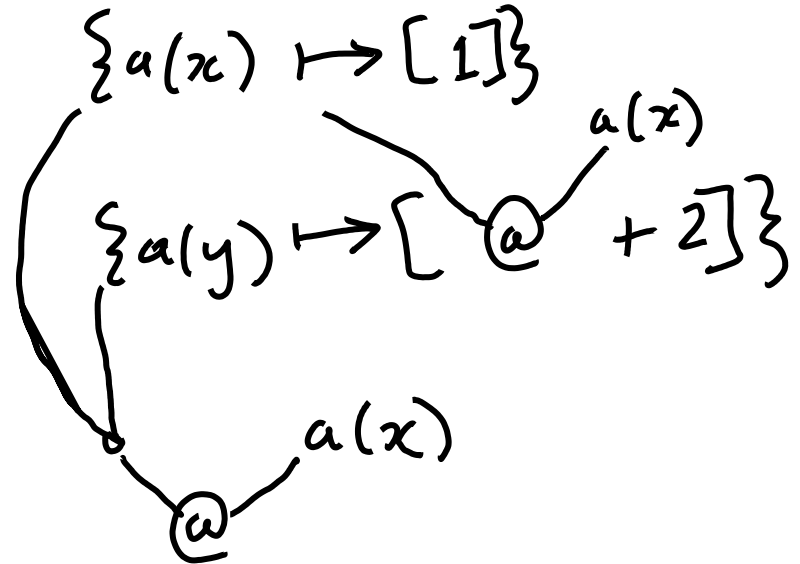
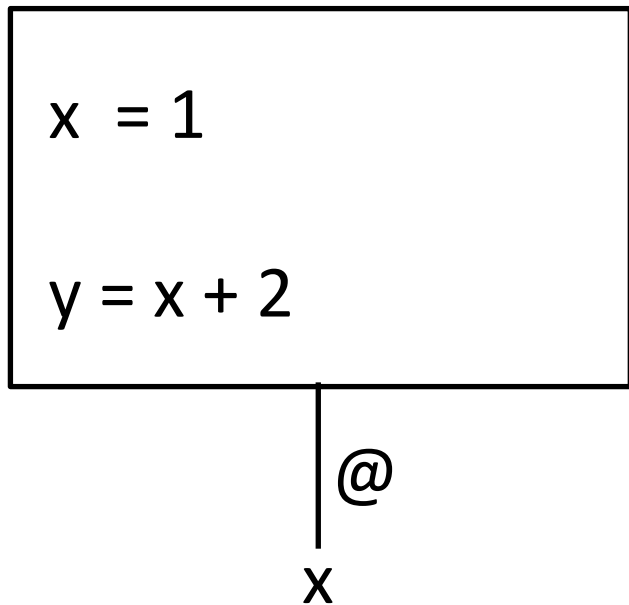
$z = y;$

$\{a(z) \mapsto [ [ ( @ a(x) == 2 ) \triangleright @ a(y) ] ]\}$

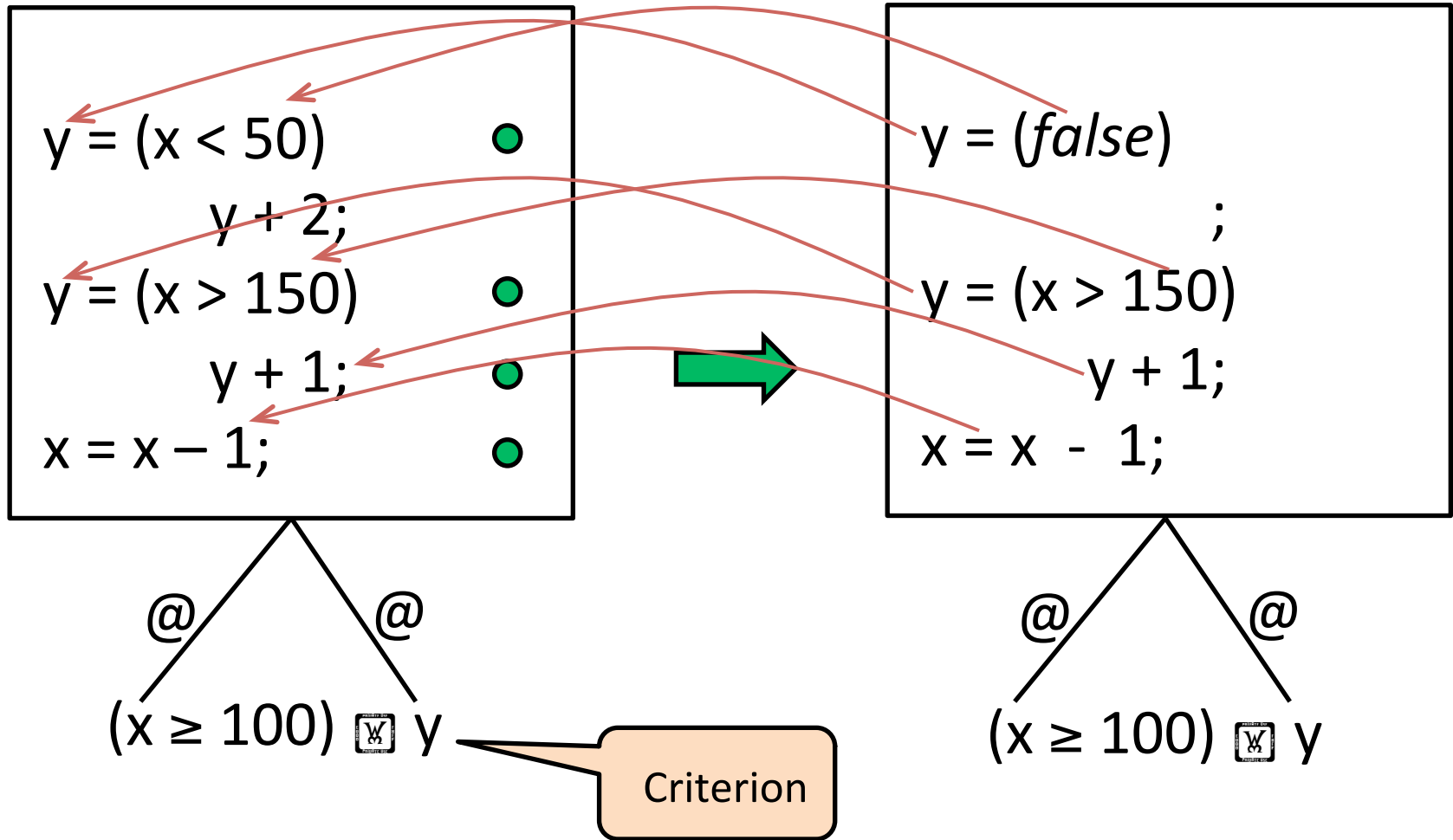
fragment addr

@

# Our notation



# Slicing via term simplification in PIM



# Summary of PIM's approach

1. Convert the (program + criterion) into a store lookup
2. Rewrite/simplify the store lookup term
3. Identify subterms in the program on which simplified term is dependent
4. These terms constitute the slice

Fully precise in loop-free fragments. No partitioning required as input.



# Slicing a loop

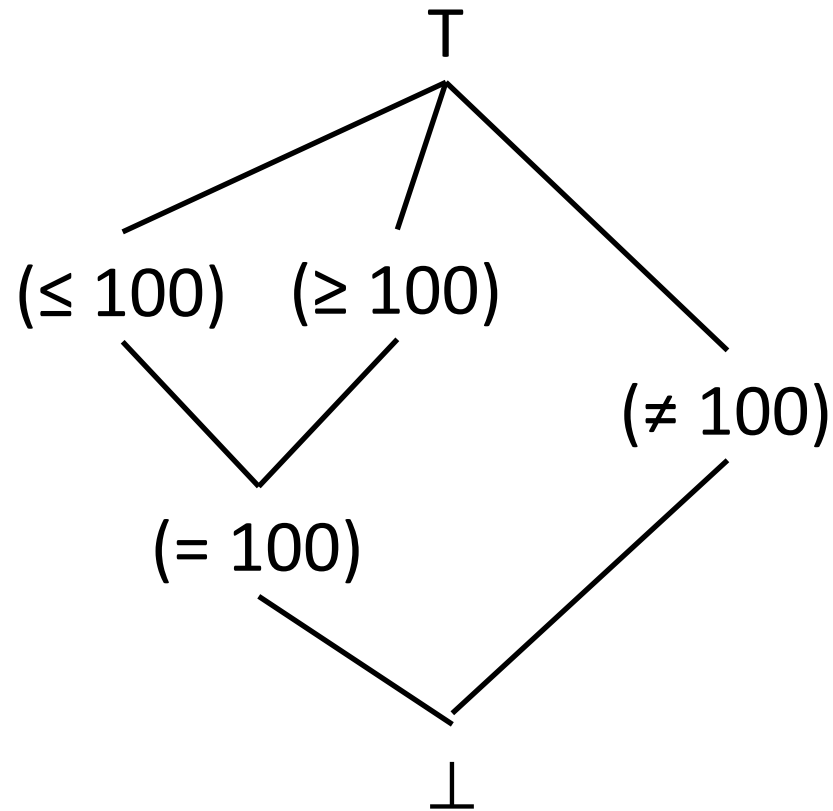
```
while (x > n) {  
    y = (x < 50)  
    y + 2;  
    y = (x > 150)  
    y + 1;  
    x = x - 1;  
}
```

PIM does not  
terminate while  
computing  
precise slice

$(x = 100) \boxtimes y$

Criterion

# Abstract lattice for given example



(Tracks only value of x)

# Iteration 1

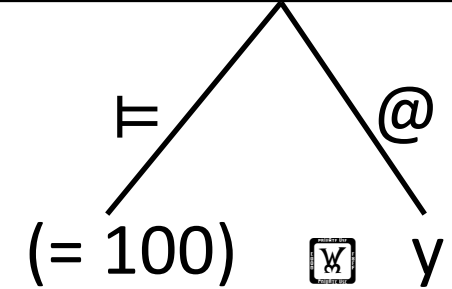
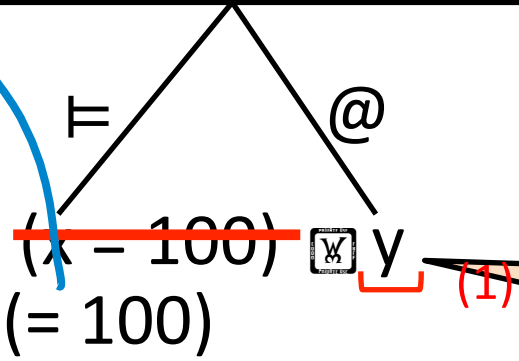
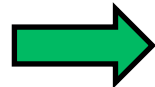
- (1)  $(\geq 100)$   $\boxtimes$   $y$
- (2)  $(\geq 100)$   $\boxtimes$   $x$

```

y = (x < 50)      ●
  y + 2;
y = (x > 150)     ●
  (2) y + 1;
x = x - 1;
    
```

```

y = (false)
;
y = (false)
;
;
    
```

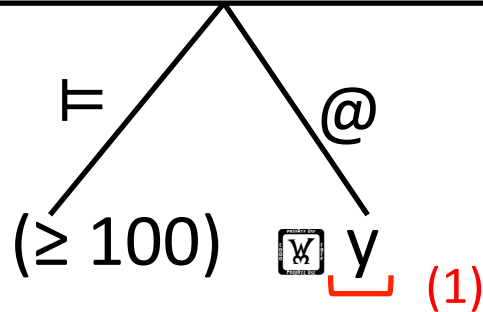
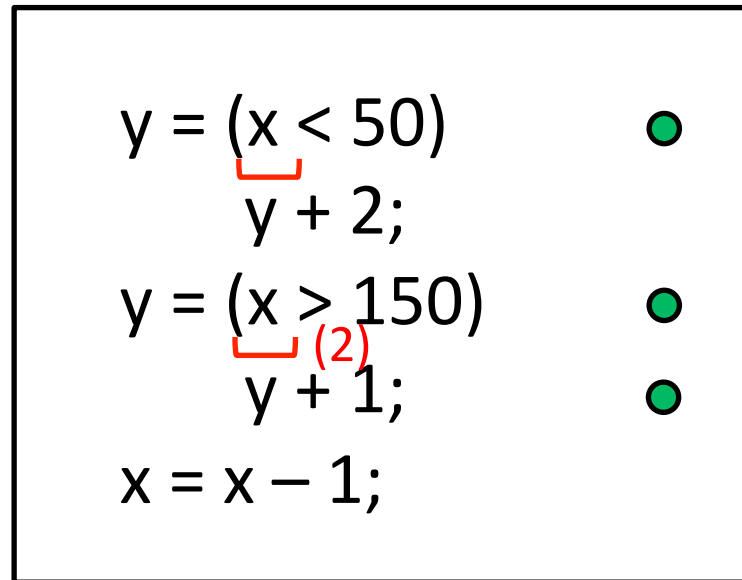


abstract weakest pre-condition

# Iteration 2

(1)  $(\geq 100) \boxtimes y$

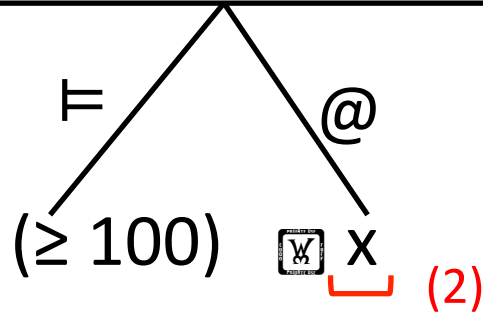
(2)  $(\geq 100) \boxtimes x$



# Iteration 3

(2)  $(\geq 100)$   $\boxtimes$  x

```
y = (x < 50)
  y + 2;
y = (x > 150)
  y + 1;
x = x - 1;
```



# Final slice

```
while (x > n) { ●  
    y = (x < 50) ●  
        y + 2;  
    y = (x > 150) ●  
        y + 1; ●  
    x = x - 1; ●  
}
```

# Our approach, at each iteration

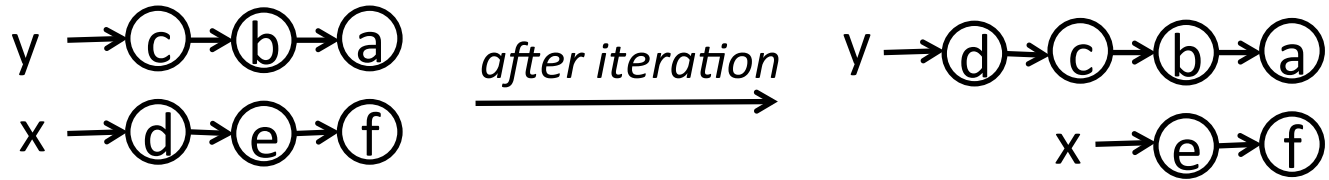
- Use abstract predicates, of the form  $s \models l$ , where  $s$  is a fragment and  $l$  is an element of a user-provided abstract lattice  $L$
- Convert concrete guards in criteria to abstract guards at the beginning of each iteration
- Rewrite term using extended PIM rewrite rules
- Then, use dependences to obtain the slice

# Ensuring termination

- If given lattice is finite
  - Assuming no heap, finite number of addresses.
  - Therefore, there is a bound on total number of possible abstract-guarded criteria.
- If lattice is finite-height
  - Whenever we generate a new criterion  $c \equiv I \sqcap v$ ,
  - If we had previously generated a criterion  $I' \sqcap v$  then modify  $c$  to  $(I \sqcup I') \sqcap v$ .
  - This also bounds the total number of possible criteria.



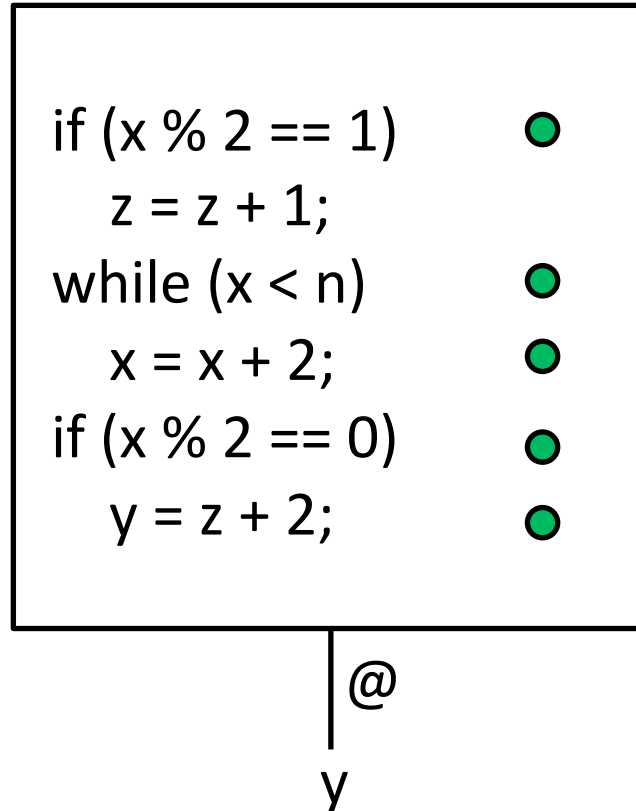
# Example



```
// x points to a singly-linked  
// list  
y = null;  
while (x.d != k) {  
    t = y;  
    y = x;  
    x = x.next;  
    y.next = t;  
}
```

@  
x

# Another example



# Summary of our approach

- Fully precise slicing in loop-free fragments
- Slicing of loops: Precision linked to user-provided lattice
- We address loops that traverse heap structures
- Support partial evaluation also
- Technical contribution
  - Integrate abstract interpretation with term rewriting
  - May be useful in other applications where term rewriting is used