

# 50 years of the Krohn-Rhodes theorem

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50 years of IMSc

## Awkward example (Pitts and Stark 1998)

```
class K1 (m1:comm → comm) =  
  local int x; init x := 0;  
  method m1(c) =  
    (x := 1; c; if x ≠ 1 then diverge)  
end K1
```

```
class K2 (m2:comm → comm) =  
  local int x; init x := 0;  
  method m2(c) = (c)  
end K2
```

**Claim.** K1, K2 have the same meaning.  
How do we prove this?

## More awkward example (Dreyer et al 2010)

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How do we prove this?

Solved by (Dreyer, Neis and Birkedal, ICFP 2010) using operational methods, and by (Reddy and Dunphy, Icalp 2012) using denotational methods.

# Some dates

- ▶ Reddy and Dunphy in 2012 extend a semantics developed by (Reynolds, 1981) and (Oles, 1985)
- ▶ They use the idea of parametric polymorphism developed by (Reynolds, IFIP 1983), first used in this kind of semantics by (O'Hearn and Tennent, 1992)
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- ▶ Parametricity uses logical relations developed in Plotkin's notes, 1973, based on ideas in (Tait, 1967)
- ▶ Bisimulation developed by Park around 1980 is a close relative of logical relations
- ▶ An earlier idea was zigzag relations in van Benthem's thesis, 1974, 1983
- ▶ van Benthem's definition is a relational generalization of that of p-morphisms in Segerberg's thesis, 1968, 1970
- ▶ One of the first ideas in this direction is that of weak homomorphisms of automata (Ginzburg and Yoeli, 1965)

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- ▶ One of the first ideas in this direction is that of **weak homomorphisms** of automata (Ginzburg and Yoeli, 1965)
- ▶ The corresponding idea of **division** of monoids appears in the theses of Krohn and of Rhodes, 1962

# Some dates

- ▶ 1954-55 Edwin Moore and George Mealy (automata)
- ▶ 1956 Stephen Kleene (expressions)
- ▶ 1957-58 John Myhill and Anil Nerode (monoids)
- ▶ 1958 Michael Rabin and Dana Scott (automata)
- ▶ 1960-62 Richard Büchi (logic)
- ▶ 1962-65 Kenneth Krohn and John Rhodes (monoids)
- ▶ 1965 Marcel-Paul Schützenberger (monoids)
- ▶ 1966 Robert McNaughton (logic)
- ▶ 1965-66 Stål Aanderaa and Arto Salomaa (expressions)
- ▶ 1966 Corrado Böhm and Giuseppe Jacopini (expressions)
- ▶ 1970 Charles Wells (categories)



# Transition systems and monoids

- ▶  $(Q, \delta : Q \times A \rightarrow Q)$
- ▶ Alternately  $\delta : A \rightarrow Q^Q$
- ▶ **Morphism**  $\delta^* : (A^*, \cdot, \varepsilon) \rightarrow (Q^Q, \circ, Id)$   
 $\delta^*(\varepsilon) = Id, \delta^*(wx) = \delta^*(w)\delta^*(x)$
- ▶ Subset construction:  $(Q, \delta \subseteq Q \times A \times Q)$ , morphism  
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- ▶ **Right action**  $(Q, \cdot)$  of monoid  $A^*$  acting on  $Q$   
 $q.1 = q, q.(wx) = (q.w).x$
- ▶ Product construction: Given  $(P, \cdot)$  and  $(Q, \cdot)$ , right action on  $P \times Q$  given by  $(p, q).a = (p.a, q.a)$

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- ▶  $L \subseteq A^*$  is recognized by  $L = (\delta^*)^{-1}(\{q_0\} \times Q_f)$
- ▶ Generalizing,  $L$  recognized by morphism  $h$  from a finitely generated monoid into monoid  $S$  if for some  $S_f \subseteq S$ ,  
 $L = h^{-1}(S_f)$

# Mealy machines and transducers

- ▶  $(Q, \delta, \beta : Q \times A \rightarrow B^*)$
- ▶ Alternately  $\beta : A \rightarrow (B^*)^Q$
- ▶ **Morphism**  $\beta^* : (A^*, \cdot, \varepsilon) \rightarrow ((B^*)^Q, \circ, \bar{\varepsilon})$ ,  
 $\beta^*(\varepsilon)(q) = \bar{\varepsilon}, \beta^*(wx)(q) = \beta^*(w)(q)\beta^*(x)(\delta^*(w)(q))$
- ▶ **Right actions**  $(Q, \cdot, *)$ , monoid  $A^*$  acting on  $(B^*)^Q$   
 $q * 1 = \bar{1}, q * (wx) = (q * w)((q.w) * x)$ , realizing a **sequential function** from  $A^*$  to  $B^*$
- ▶ Alternately right action of monoid  $A^*$  acting on  $(B^*)^Q \times Q$   
 $(f, q).1 = (f, q), (f, q).(wx) = (f(q)(w)f(q.w)(x), (q.w).x)$

# Composition of Mealy machines

- ▶ Let  $M_{BC} = (P, \cdot, *)$  realize a sequential function from  $B^*$  to  $C^*$  and  $M_{AB} = (Q, \cdot, *)$  realize a sequential function from  $A^*$  to  $B^*$
- ▶ Their composition from  $A^*$  to  $C^*$  is realized by  $(P \times Q, \cdot, *)$   
 $(p, q) \cdot a = (p \cdot (q * a), q \cdot a), (p, q) * a = p * (q * a)$
- ▶ Internalizing the intermediate alphabet we get a right action  $(B^*)^Q \times A^*$  acting on the product  $P \times Q$  using  
 $(p, q) \cdot (f, a) = (p \cdot f(q), q \cdot a)$
- ▶ If  $M_{BC}, M_{AB}$  are minimal automata, we can think of their state sets  $P, Q$  as being equivalence classes labelled by  $(B^*)^Q$  and  $A^*$  respectively, hence  $(B^*)^{A^*}$  and  $A^*$
- ▶ More generally, given monoids  $S$  and  $T$ , we have to consider for the composition  $S^T \times T$

# Wreath product of monoids

- ▶ Let  $(P, S)$  and  $(Q, T)$  be transformation monoids, more generally  $S$  a monoid and  $T$  a right action on a set  $Q$
- ▶ Define  $F = S^Q$  and let  $(tf)(q) = f(qt)$  for  $t \in T$  be the right action  $T$  on  $Q$  seen as a left action by  $T$  on  $F$
- ▶ Now we get a monoid  $F \times T$  with a right action  $F \times T$  (so just a monoid, not necessarily a transformation monoid)  
 $(f, t).(g, u) = (f.(tg), t.u)$
- ▶ Associative, so  $F \times T$  is a monoid under this operation

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- ▶ Associative, so  $F \times T$  is a monoid under this operation
- ▶ More generally such a submonoid of  $S^T \times T$  is called the **wreath product** monoid  $S \wr T$
- ▶ (Straubing 1979) If  $S$  recognizes  $L$  and  $T$  recognizes  $K$ , there is a sequential function (realized by a transducer)  $\tau$  such that  $S \wr T$  recognizes  $\tau^{-1}(L) \cap K$
- ▶ Example: Sequential composition  $K; L$

# Covering of automata and division of monoids

- ▶  $M = (Q, \cdot)$  is **covered by**  $M' = (Q', \cdot)$ , written  $M \leq M'$ , if there is a partial onto function  $f : Q' \rightarrow Q$  such that when  $f(q').a$  is defined, it is equal to  $f(q'.a)$
- ▶  $M = (Q, \cdot)$  is **covered by**  $M' = (Q', \cdot)$ , written  $M \leq M'$ , if there is an onto relation  $r \subseteq Q' \times Q$  such that  $r(q').a \subseteq r(q'.a)$
- ▶ Generalizing, monoid **S divides** monoid  $T$ , written  $S \leq T$ , if  $S$  is the morphic image of a submonoid of  $T$



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## Theorem (Jordan 1870, Hölder 1890, Krohn-Rhodes)

1. *Every finite group can be written as a composition series of simple groups which are its factors.*
2. *This is unique upto permutation and isomorphism.*
3. *Every finite group divides a series of wreath products of simple groups which divide it; that is,  $G \leq G_1 \wr G_2 \wr \cdots \wr G_n$ , where each  $G_i$  is a simple group dividing  $G$ .*

# Decomposition

## Theorem (Kleene 1956)

*The language of any finite automaton can be described by a regular expression using letters, sequencing, choice and iteration operations.*

## Theorem (Krohn and Rhodes 1962, 1963, 1965)

*Every finite monoid divides a series of wreath products of simple groups and the groupfree monoid  $U_2$ ; that is,*

$S \leq G_{11} \wr \cdots \wr G_{1j_1} \wr U_{11} \wr \cdots \wr U_{1k_1} \wr \cdots \wr G_{n1} \wr \cdots \wr G_{nj_n} \wr U_{n1} \wr \cdots \wr U_{nk_n}$ ,  
where each  $G_{ij}$  is a simple group dividing  $S$  and each  $U_{ij}$  is a copy of  $U_2$ .

## Theorem (Böhm and Jacopini 1966)

*Every flowchart program can be converted into an equivalent program using only assignments, sequencing, choice (if-then-else) and iteration (while-do) commands.*